

# Synthesis of nanoparticles: the role of chemical parameters toward functional materials

Alessandro Lauria

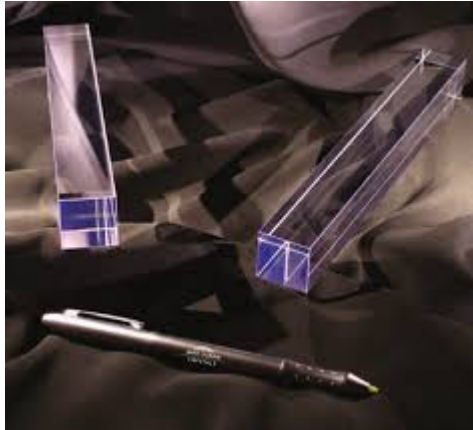
Laboratory for Multifunctional Materials

Department of Materials - ETH Zürich

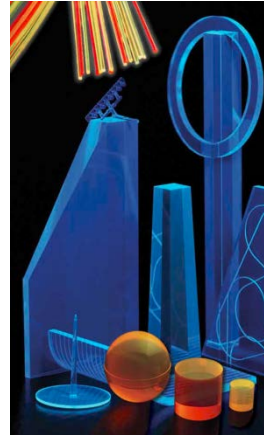
[alessandro.lauria@mat.ethz.ch](mailto:alessandro.lauria@mat.ethz.ch)

<http://www.multimat.mat.ethz.ch>

# Scintillator materials



Inorganic  
(Single Crystals)

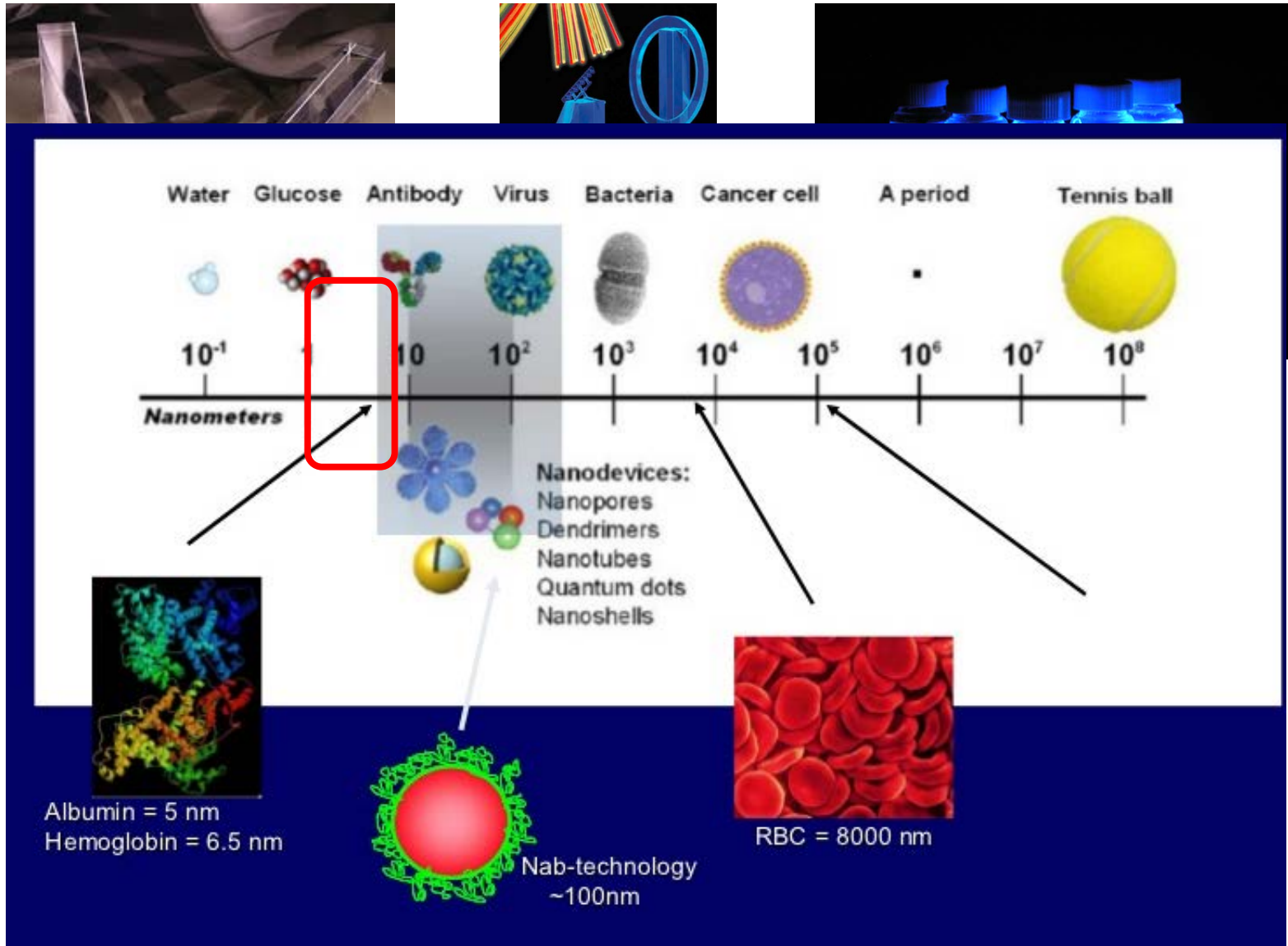


Organic  
(Plastic)

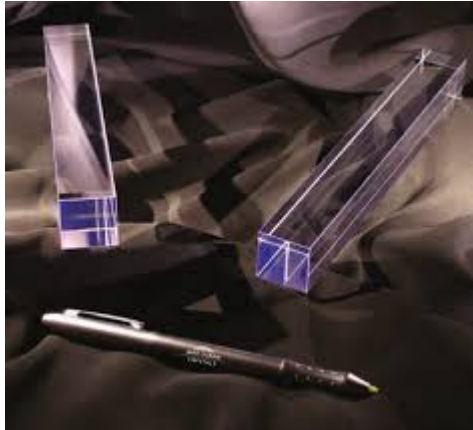


Liquid  
(Dye solution)

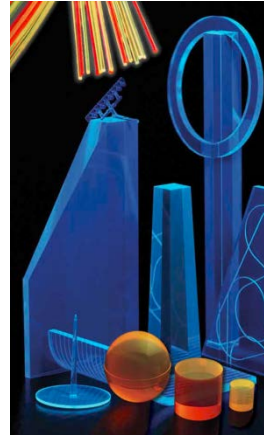
# Scintillator materials



# Scintillator materials



Inorganic  
(Single Crystals)

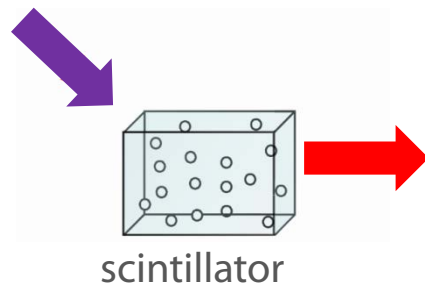


Organic  
(Plastic)

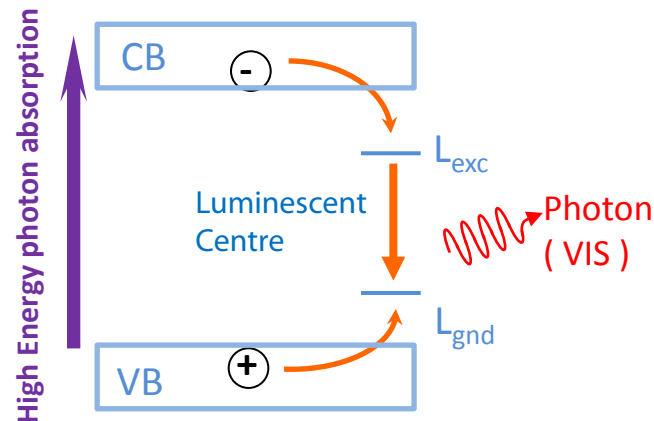


Liquid  
(Dye solution)

Transparent in the UV region  
EXCITATION



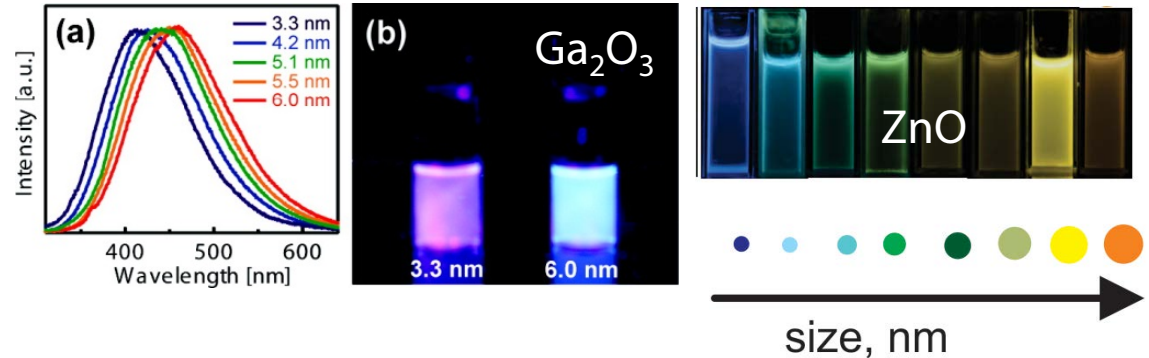
Transparent in the Vis region  
EMISSION



Phosphor nanoparticle

# Nanoparticles for optical applications

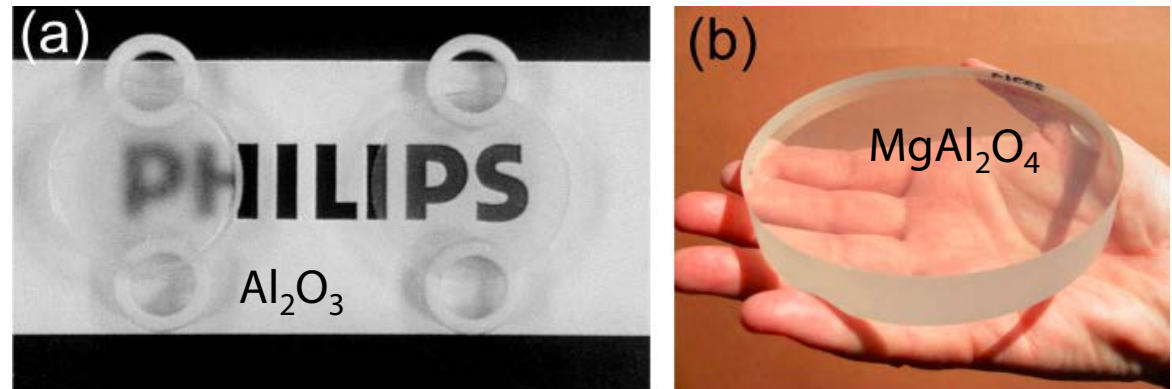
Unique optical properties depending on particle size:  
Band-gap modified emitters



T. Wang et al. *J. Am. Chem. Soc.* **2010**, 132, 9250

Xu, X. Y. et al. *Cryst Eng Comm* **2013**, 15, 977

Beneficial role of sub-micrometric crystal size for better optical quality of sintered ceramics

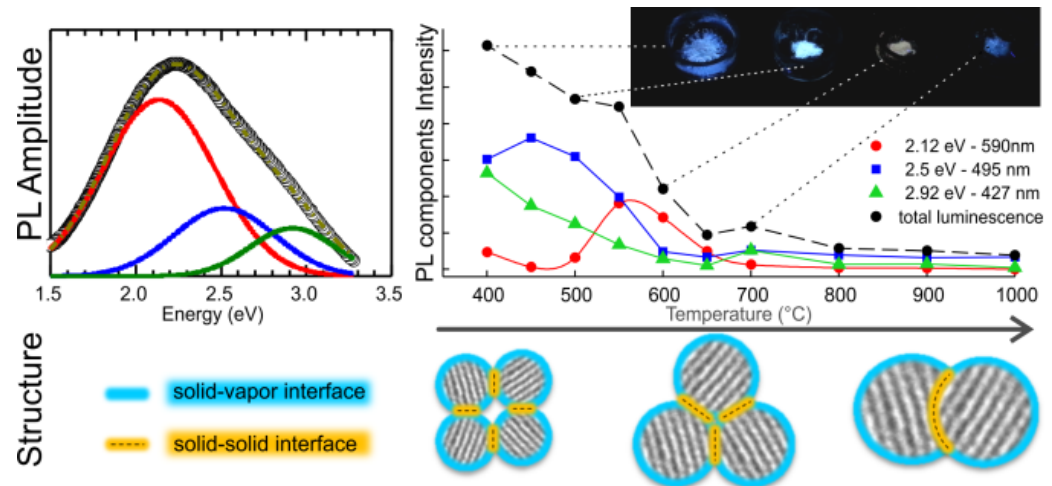


Apetz R. and Van Bruggen M.P.B., *J. Am. Ceram. Soc.* **2003**, 86, 480

Krell, A. et al. *J. Am. Ceram. Soc.* **2010**, 93, 2656

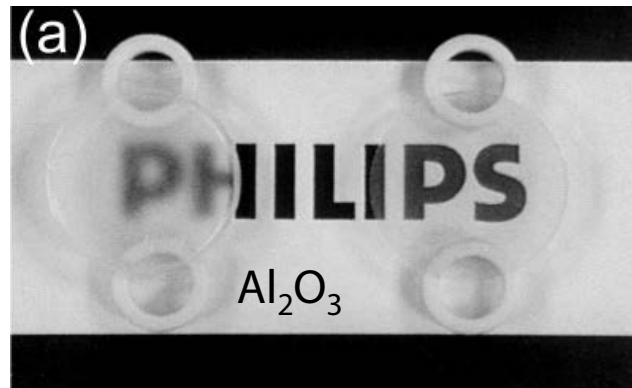
# Nanoparticles for optical applications

Engineered luminescence related to defects due to the high interface area in nanosized polycrystals

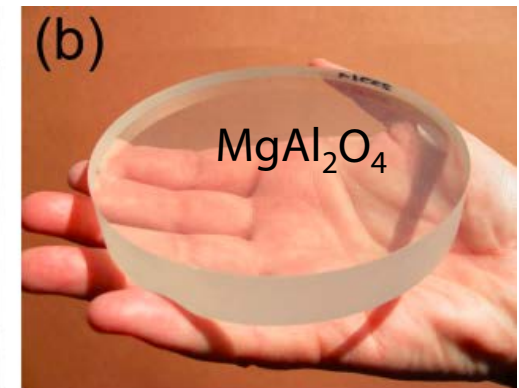


Villa, I. et al. *Chem. Mater.* **2016**, *28*, 3245–3253

Beneficial role of sub-micrometric crystal size for better optical quality of sintered ceramics



Apetz R. and Van Bruggen M.P.B., *J. Am. Ceram. Soc.* **2003**, *86*, 480



Krell, A. et al. *J. Am. Ceram. Soc.* **2010**, *93*, 2656

# From nano to macro

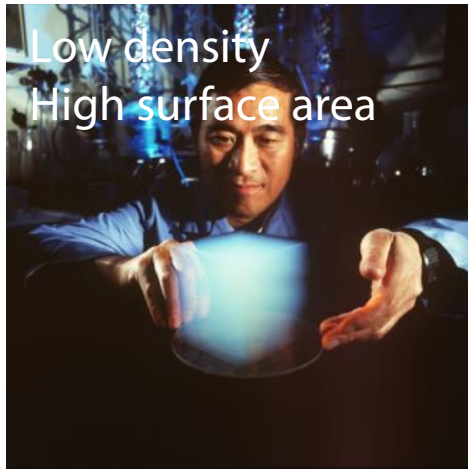
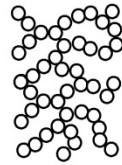
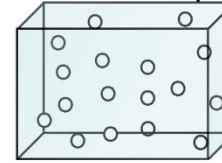


Image:  
[http://stardust.jpl.nasa.gov/highres/aerogel\\_peter.jpg](http://stardust.jpl.nasa.gov/highres/aerogel_peter.jpg)

assembly  
(nanoporous gels)



embedding  
(polymer nanocomposites)

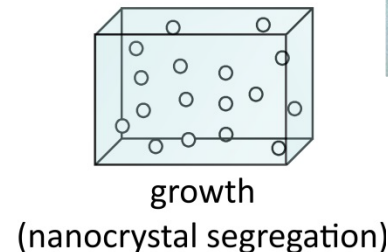
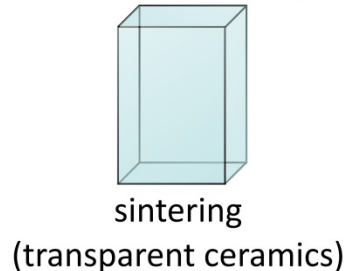


Homogeneous

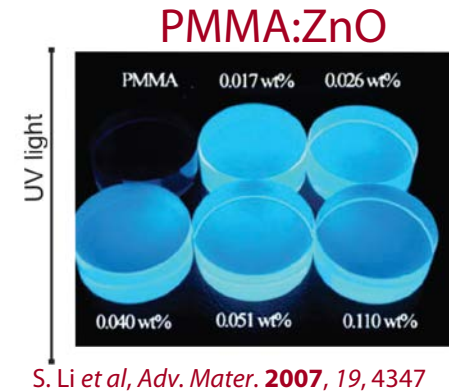


Heterogeneous

$\text{Lu}_2\text{O}_3:\text{Eu}$  ceramic

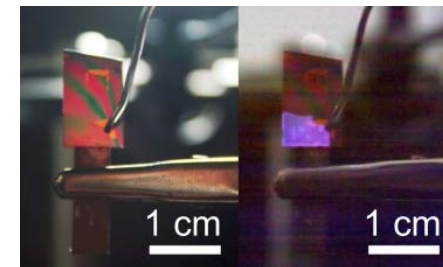
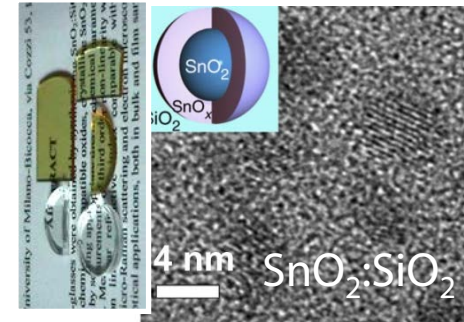


A bottom-up strategy to fabricate optical grade functional materials.



S. Li et al, *Adv. Mater.* **2007**, 19, 4347

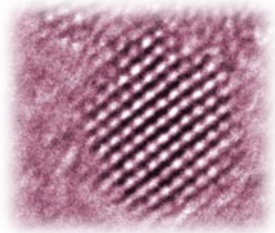
Glassceramics



*Nat. Commun.* **2012**, 3, 690

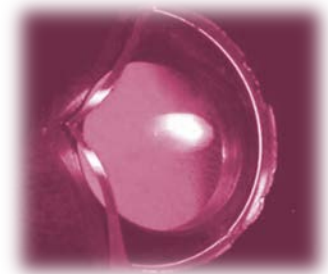
Cherepy, N. J. et al. **2010**; SPIE Vol. 7805.

# Nanoparticles as building blocks

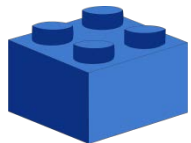


**nanoscale**

**Bridging 7 orders of magnitude  
in length scale!**



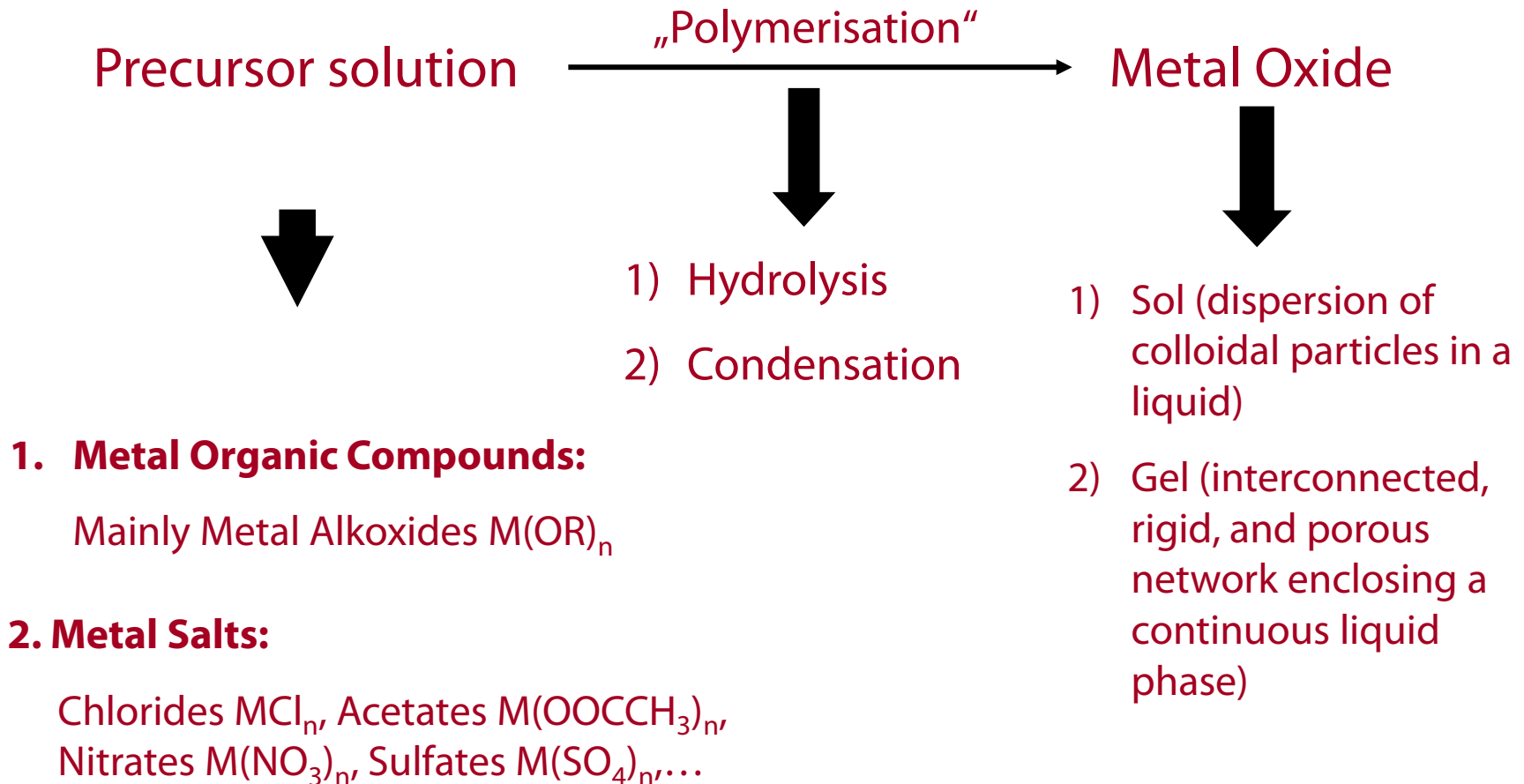
**macroscale**



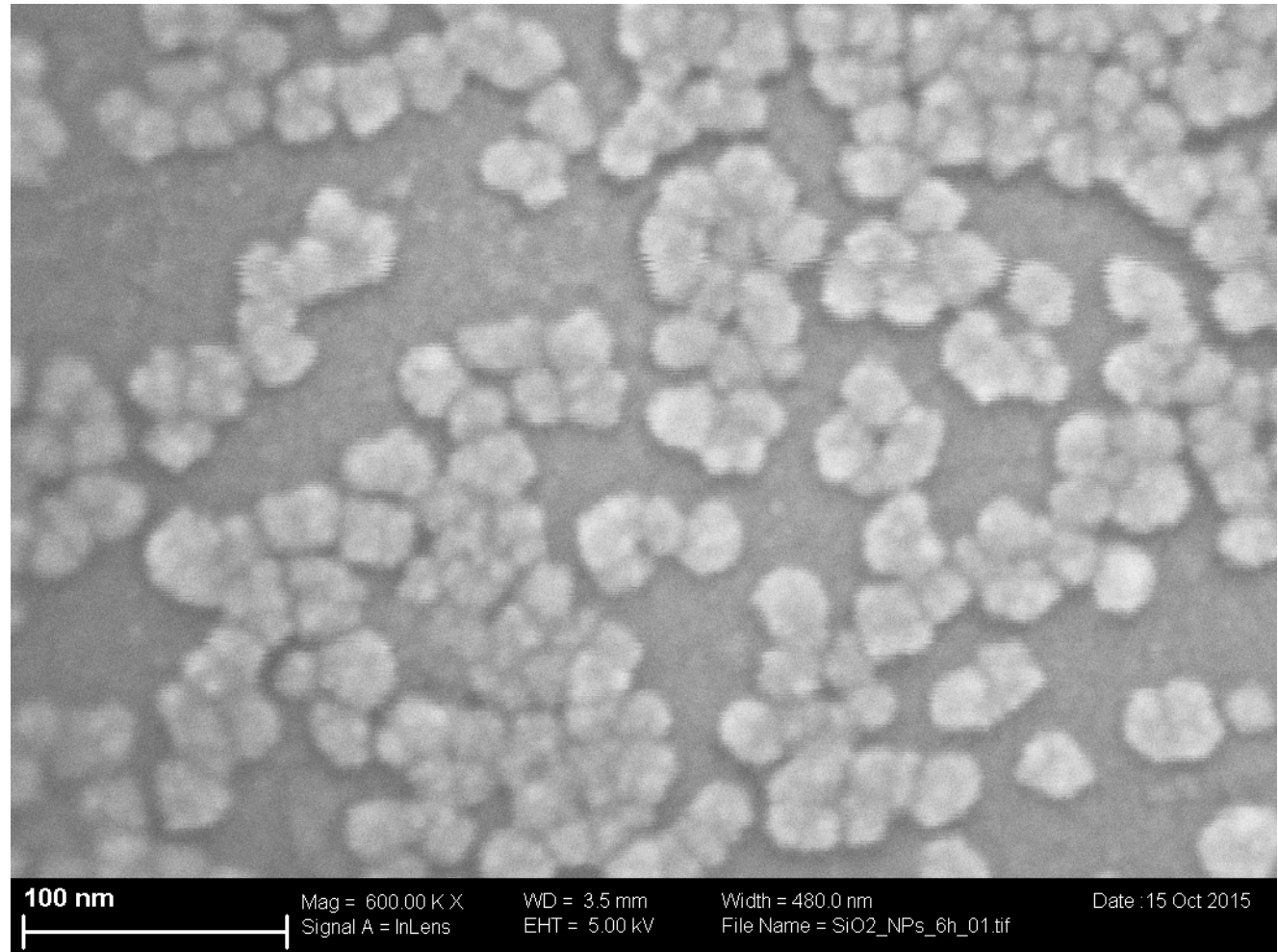
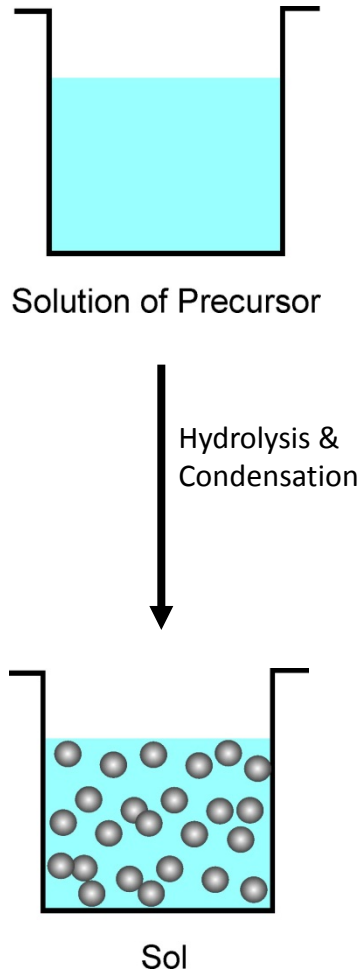


# Nanoparticles synthesis: aqueous sol-gel

*In words:* Conversion of a precursor solution into an inorganic solid (mainly metal oxides) by hydrolysis and condensation reactions.



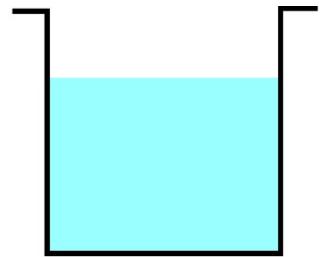
# Nanoparticles synthesis: aqueous sol-gel



## Stöber synthesis of $\text{SiO}_2$ NPs

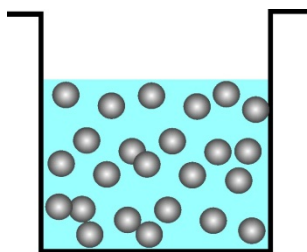
# Nanoparticles synthesis: aqueous sol-gel

## Hydrolysis and Condensation

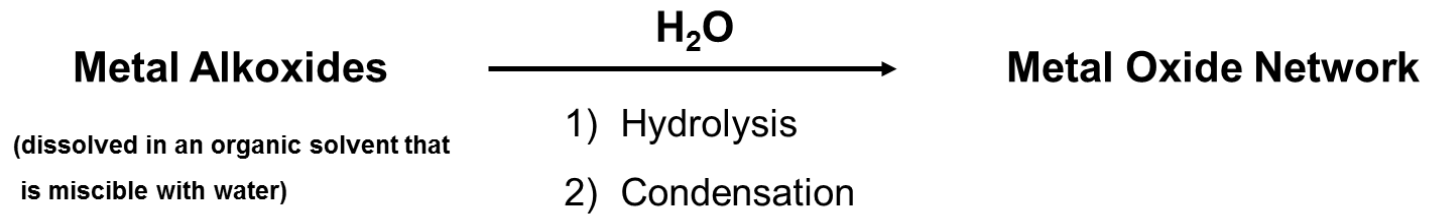


Solution of Precursor

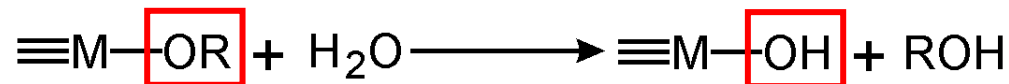
Hydrolysis &  
Condensation



Sol



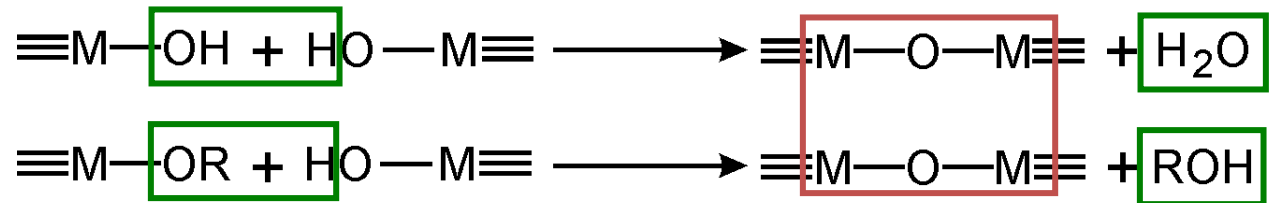
### Hydrolysis (Exchange of OR against OH)



### Condensation:

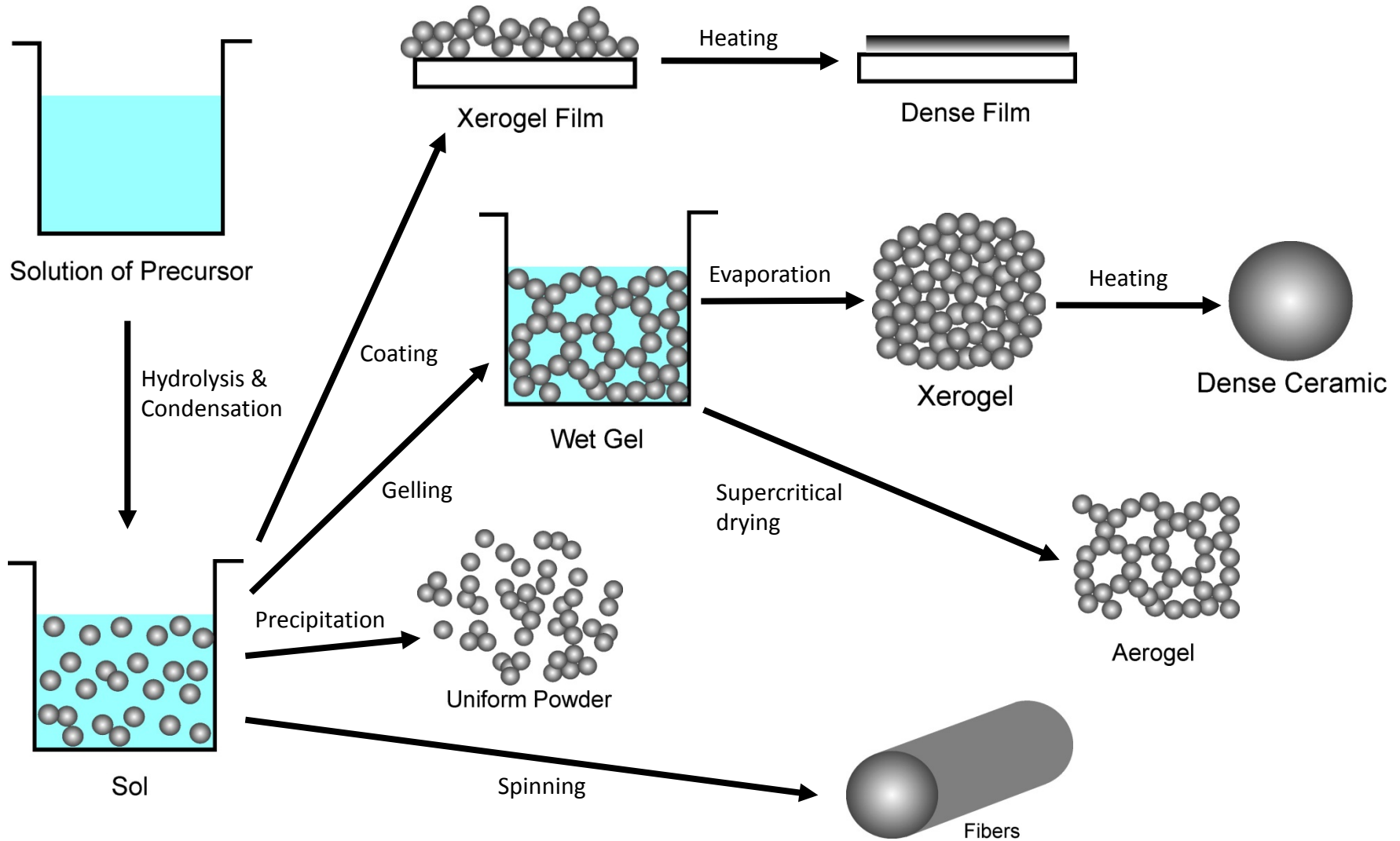
(Elimination of H<sub>2</sub>O or ROH)

(Formation of M-O-M bond)



# Nanoparticles synthesis: aqueous sol gel

The sol-gel process is extremely versatile!



## Aqueous:

### Inorganic Polymerization Reactions

„Molecular“ Precursor

Inorganic salts  
Metal alkoxides

- 1) Hydrolysis
- 2) Condensation

Metal Oxide Network

The oxygen is supplied by water!

## Nonaqueous:

„Molecular“ Precursor + Organic Solvent → Metal Oxide Network

Metal halides  
Metal alkoxides  
Metal acetylacetonates  
Metal acetates  
Others (e.g. metal nitrates)

Alcohols (Benzyl Alcohol,...)  
Ketones (Acetophenone,...)  
Amines (Benzylamine,...)  
„Inert“ Solvents (Toluene,...)



The oxygen is provided by the precursor or the organic solvent!

# Nonaqueous sol-gel



## Nonaqueous:

„Molecular“ Precursor + Organic Solvent  $\longrightarrow$  Metal Oxide Network

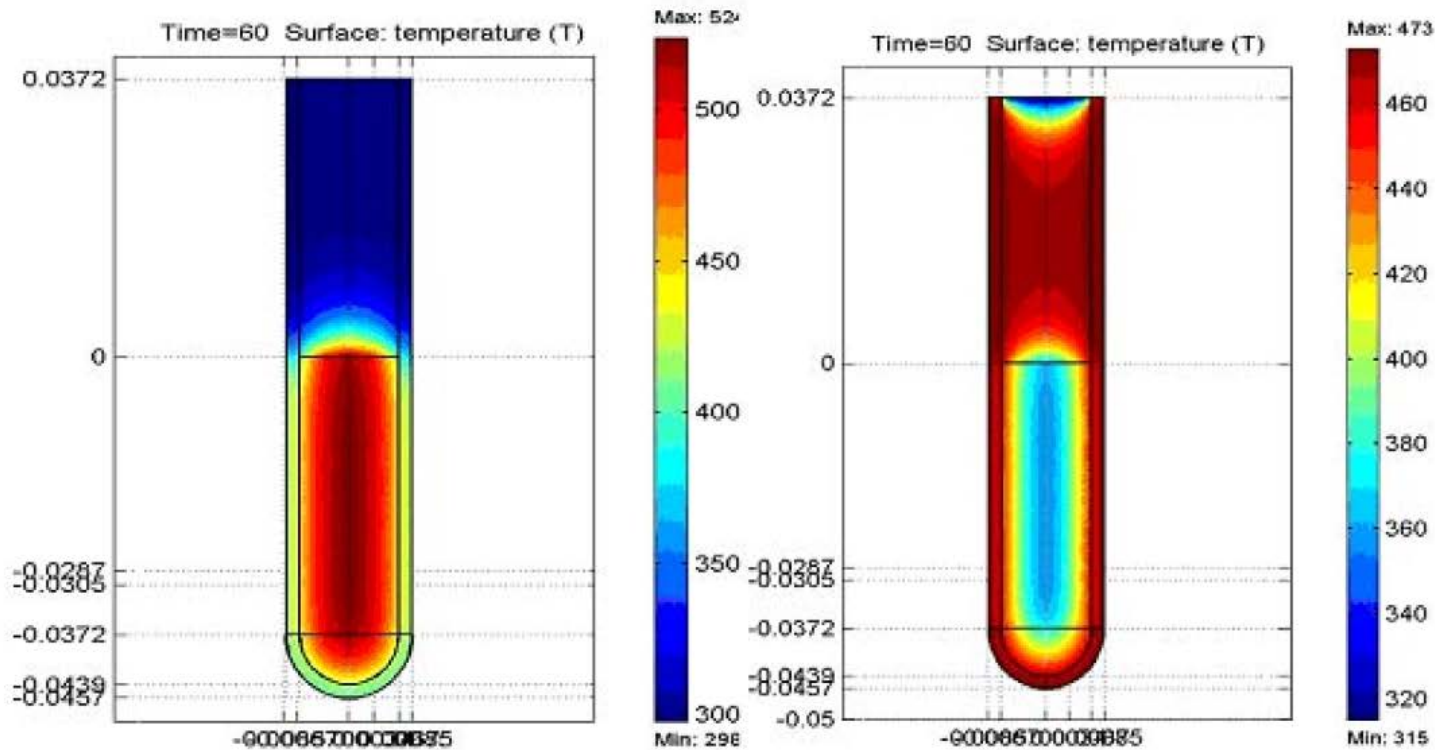
Metal halides  
Metal alkoxides  
Metal acetylacetonates  
Metal acetates  
Others (e.g. metal nitrates)

Alcohols (Benzyl Alcohol,...)  
Ketones (Acetophenone,...)  
Amines (Benzylamine,...)  
„Inert“ Solvents (Toluene,...)



The oxygen is provided by the precursor or the organic solvent!

## Microwave vs. Conventional Thermal Heating



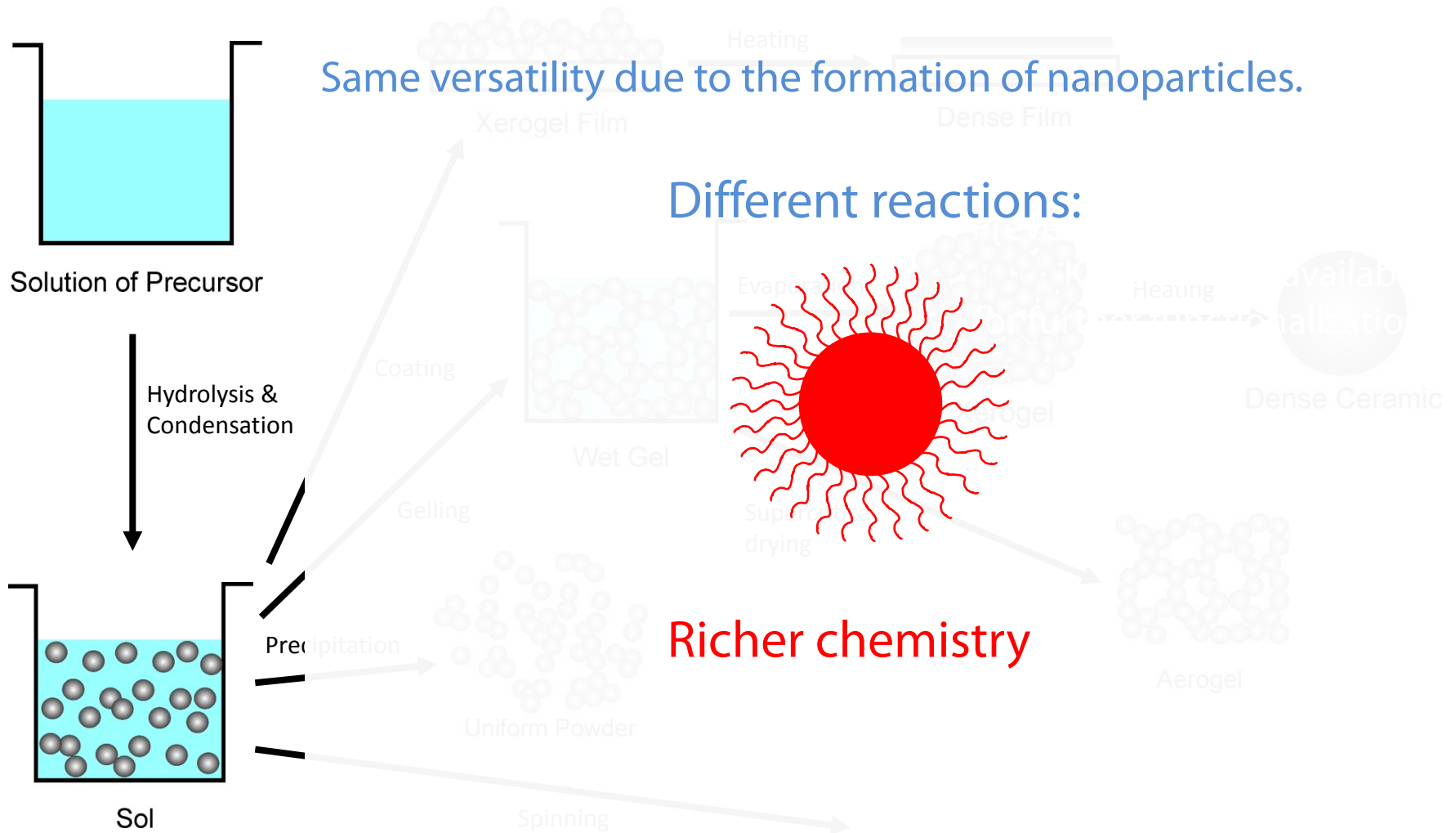
**Microwave Heating**

**Conventional Heating**

Volumetric heating  
Contactless heating  
Specific heating

<http://www.biotage.com/DynPage.aspx?id=22052>

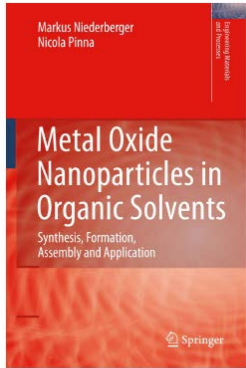
## Aqueous vs Nonaqueous Sol-Gel Process





## Literature

### Books



M. Niederberger, N. Pinna  
*Metal Oxide Nanoparticles in Organic Solvents: Synthesis, Formation, Assembly, and Application*  
Engineering Materials and Processes Series  
Springer Verlag, London: **2009**

2009. XIII, 217 p. 65 illus. (Engineering Materials and Processes) Hardcover

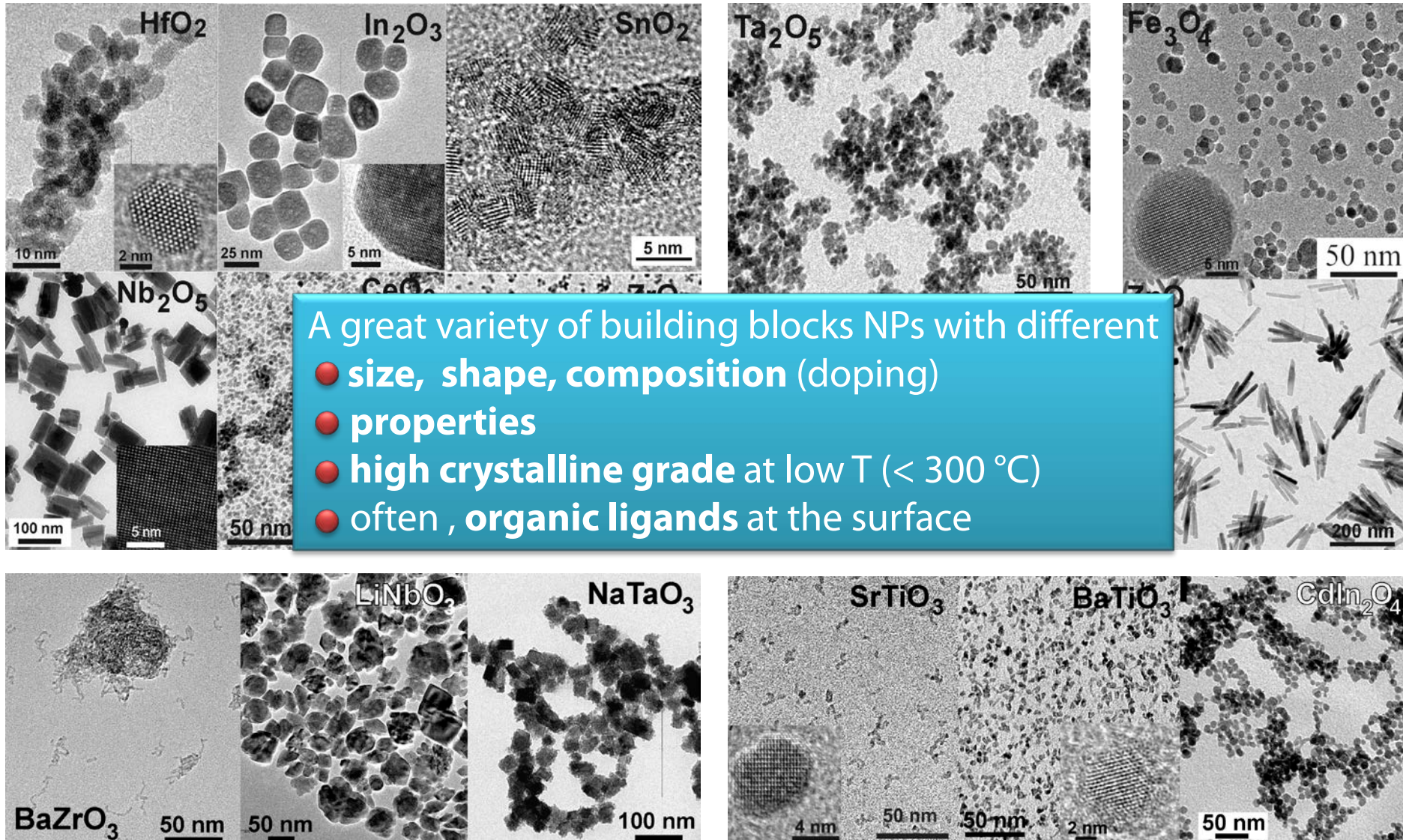
R. Deshmukh, M. Niederberger  
*Non-hydrolytic Sol-Gel Routes*  
**In:** The Sol-Gel Handbook  
Edited by D. Levy and M. Zayat  
Wiley-VCH, Weinheim: **2015**

### Reviews

P.D. Debecker, P.H. Mutin  
Non-hydrolytic sol-gel routes to heterogeneous catalysts  
Chem. Soc. Rev. 2012, 41, 3624

P.H. Mutin, A. Vioux  
*Nonhydrolytic Processing of Oxide-Based Materials: Simple Routes to Control Homogeneity, Morphology, and Nanostructure*  
Chem. Mater. **2009**, 21, 582

N. Pinna, M. Niederberger  
*Surfactant-Free Nonaqueous Synthesis of Metal Oxide Nanostructures*  
Angew. Chem. Int. Ed. **2008**, 47, 5292



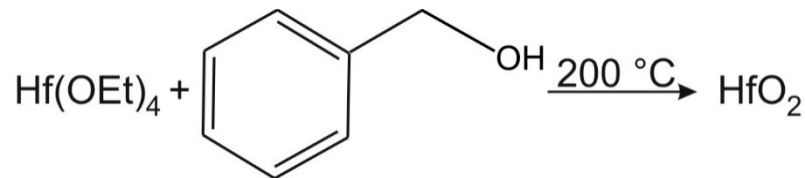
Angew. Chem. Int. Ed. **2008**, 47, 5292

# Doping HfO<sub>2</sub> via nonaqueous sol-gel

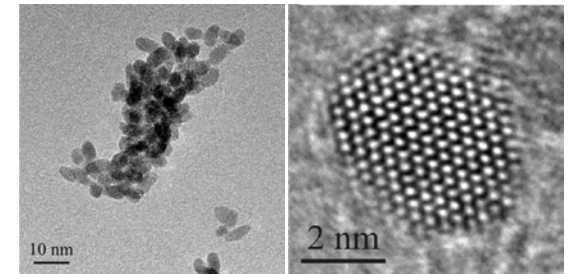
## Heavy metal oxide with optical transparency and high density

Requirement for scintillation	Desired property	HfO <sub>2</sub>
Transparency	Wide bandgap	BG > 4.5 eV
Luminescence centers	Good host for RE	Trivalent Lanthanides: Eu <sup>3+</sup>
Stability	Strong chemical bonds	T <sub>f</sub> = 2758 °C, high inertness
High stopping power	High Z <sub>eff</sub> High density	Z <sub>Hf</sub> = 72 d <sub>HfO<sub>2</sub></sub> = 9.68 g cm <sup>-3</sup>

Metal Alkoxides and Benzyl Alcohol:



*Chem. Eur. J.* **2006**, 12, 7282

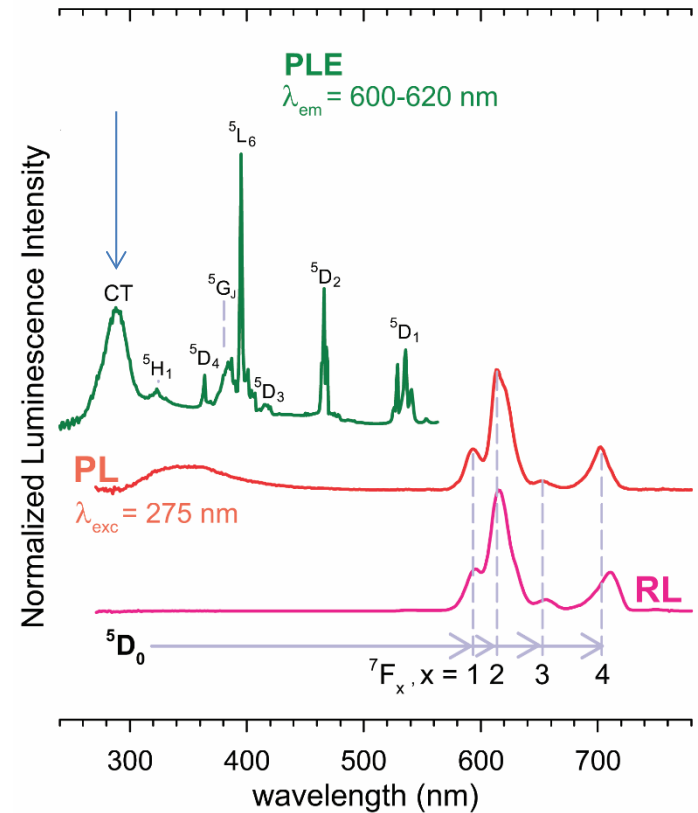
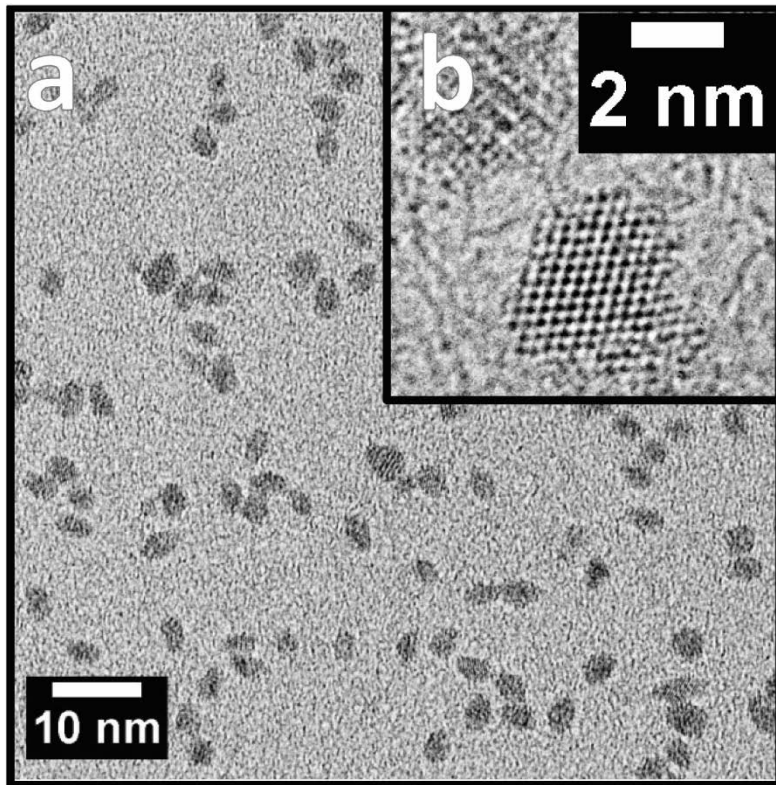


Good candidate for scintillator applications

# Luminescent $\text{HfO}_2:\text{Eu}^{3+}$ nanoparticles

# Doping

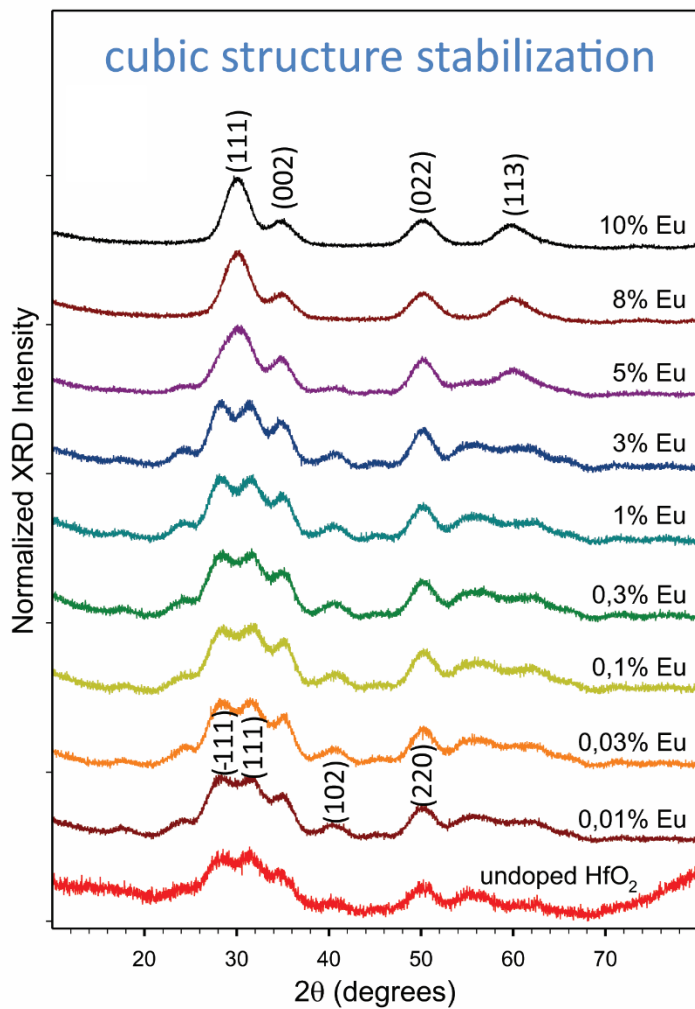
monoclinic  
 $\text{Hf}_{0.97}\text{Eu}_{0.03}\text{O}_2$   
nanophosphor



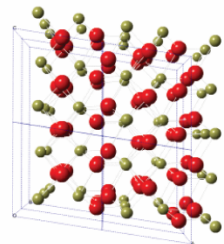
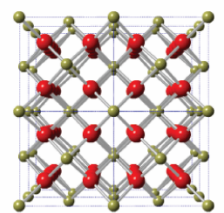
$\text{Eu}^{3+}$  ions incorporated in the crystalline lattice

enabling luminescence stimulated either by X-rays or UV illumination.

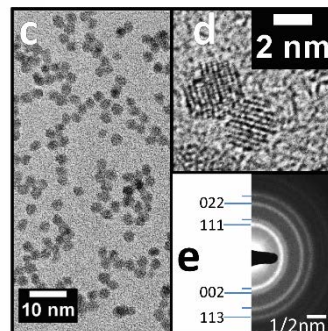
## XRD



Eu<sup>3+</sup> concentration ↑

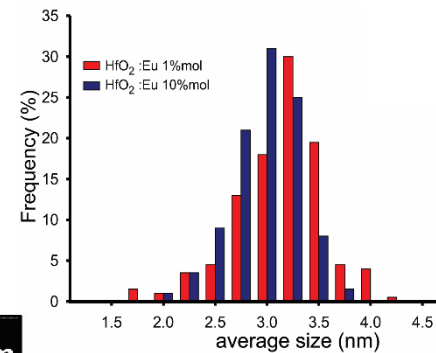
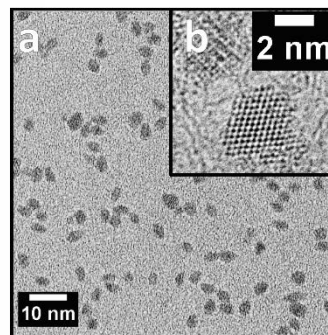


## 10%Eu



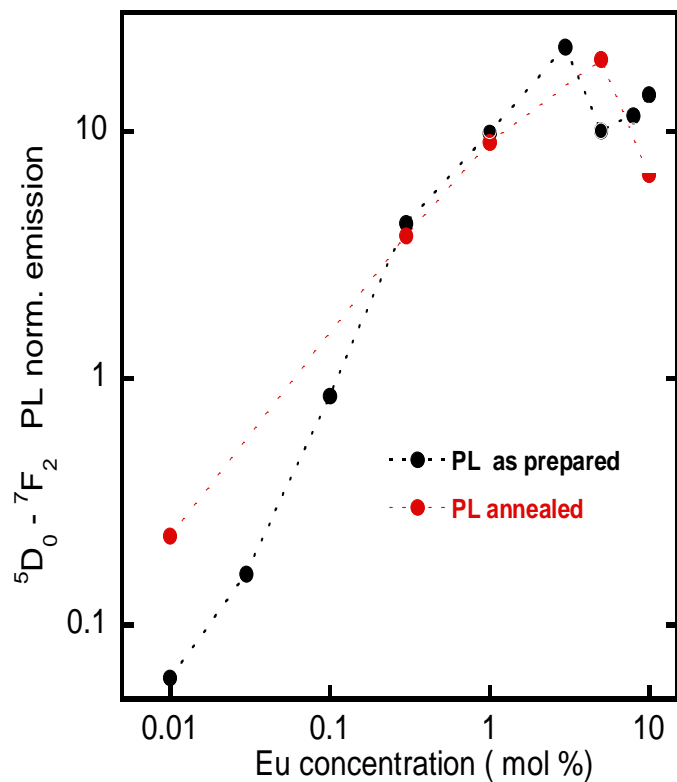
**Structural modification.**

## 1%Eu



**Singly dispersed  
ultrasmall  
nanocrystals.**

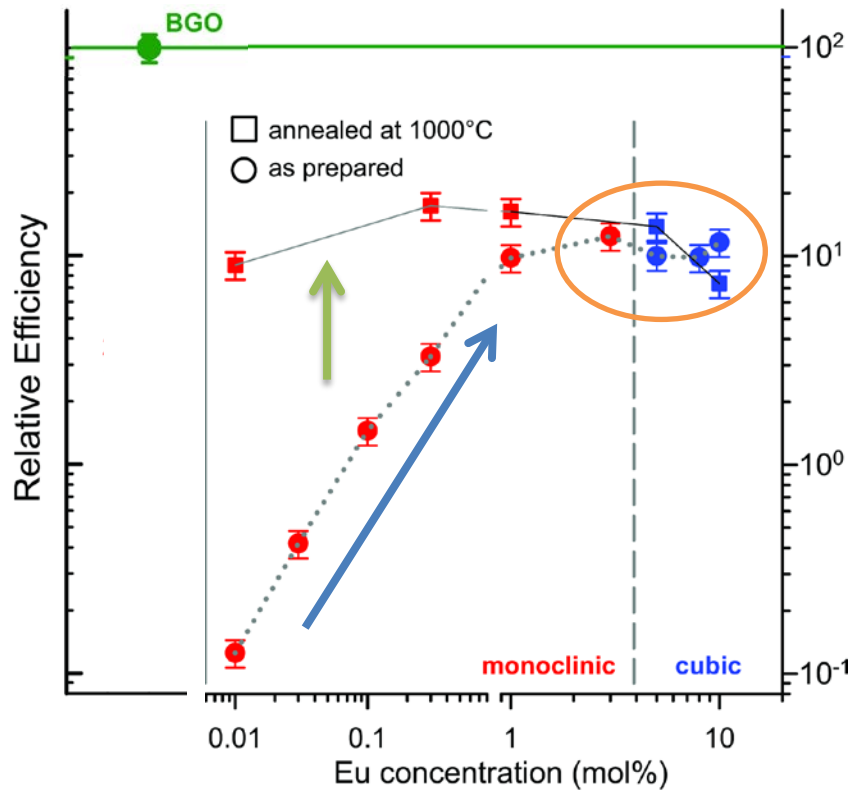
Integrated emission stimulated by  
280 nm UvV light



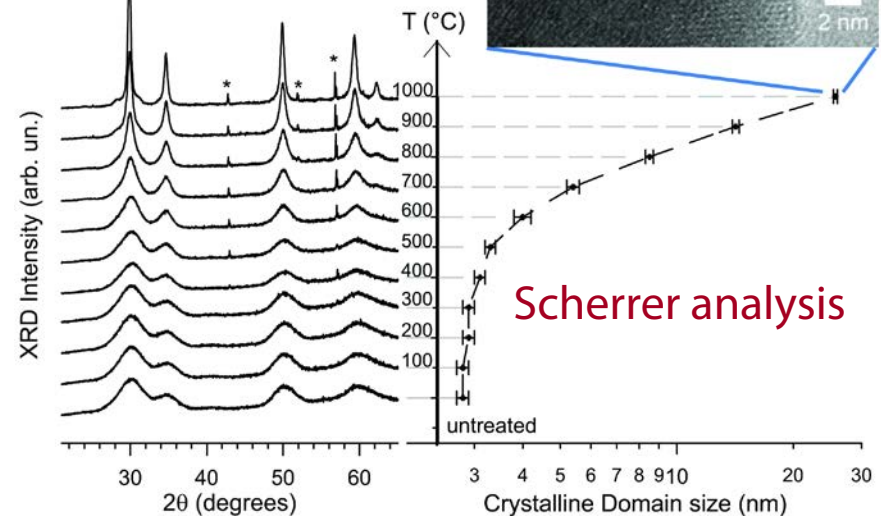
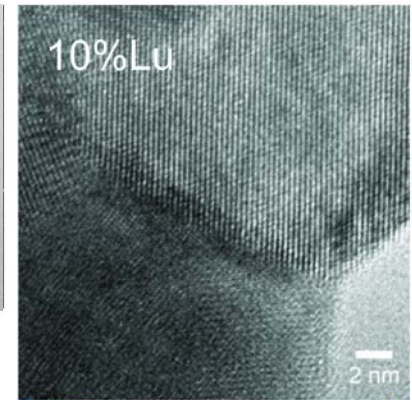
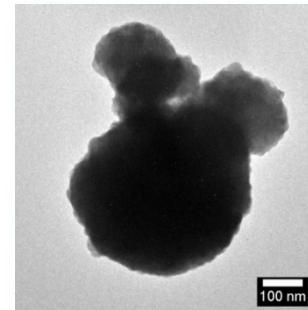
Increase of luminescence with  
increasing Eu concentration

Quenching of luminescence for  $\text{Eu}^{3+}$   
concentration higher than 1 mol%

Integrated emission stimulated by  
20 KeV X-rays



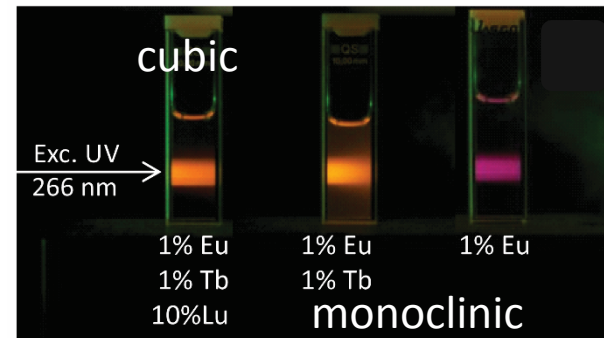
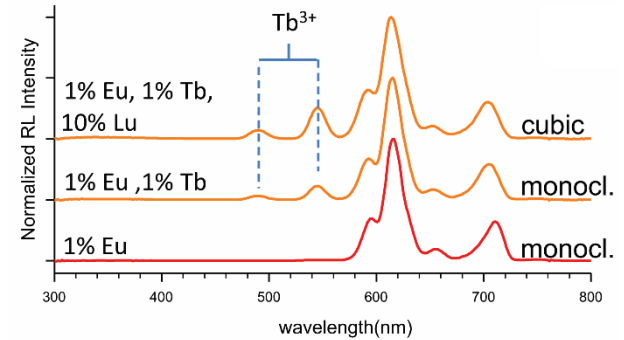
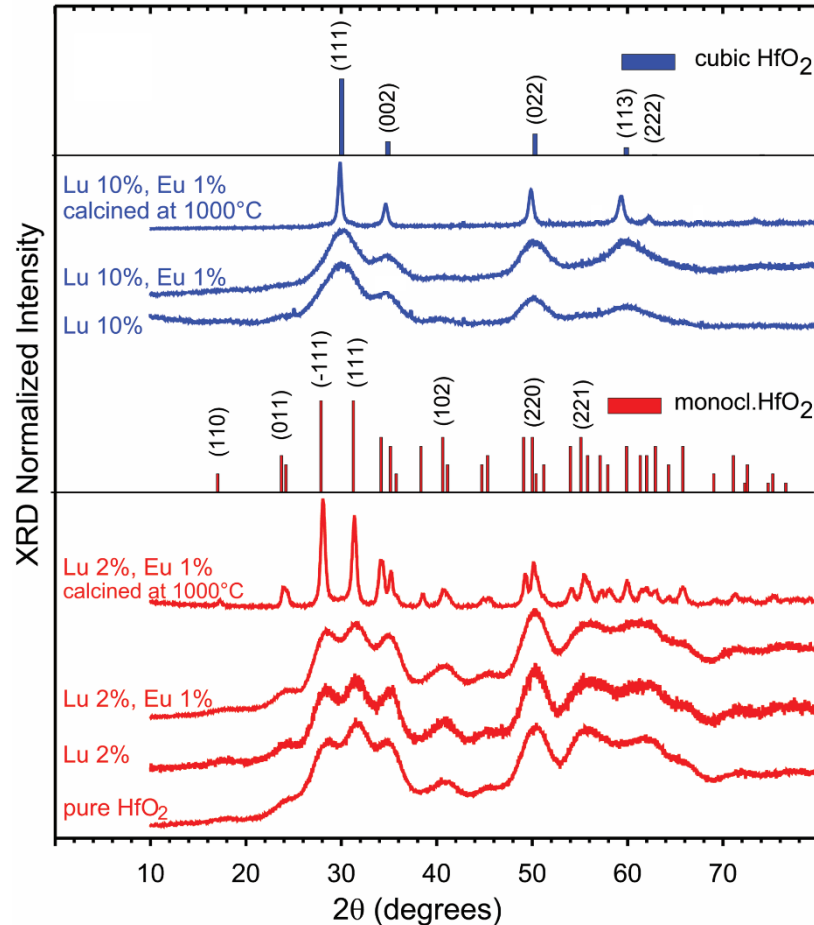
crystal growth



# Multifunctional role of RE doping

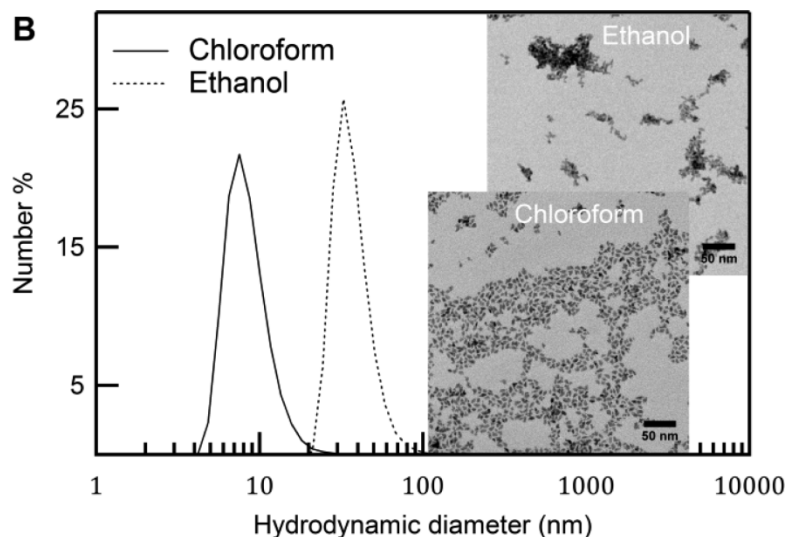
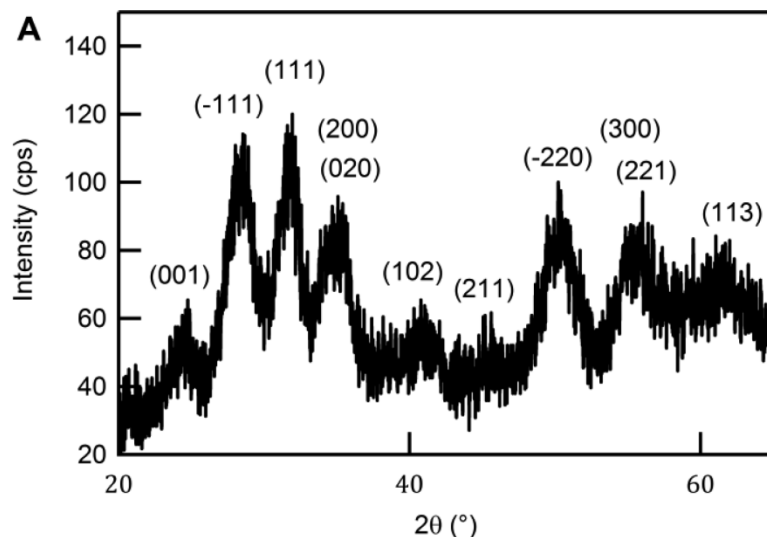
$\text{Lu}^{3+}$  /  $\text{Eu}^{3+}$  /  $\text{Tb}^{3+}$  co-doped  $\text{HfO}_2$

optically inactive  $\text{Lu}^{3+}$  (full 4f shell) acting as a structure modifier



Independent control over structure and emission

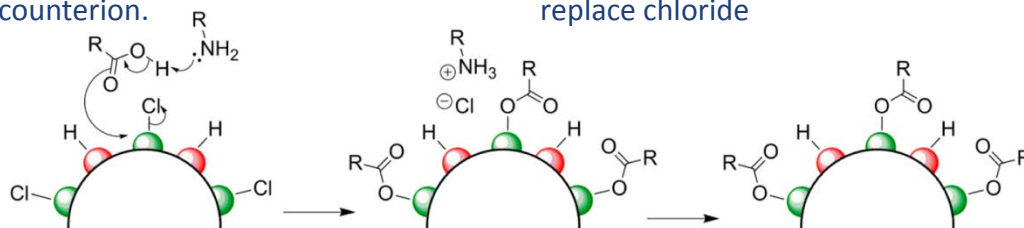




Surface stabilization is known from literature

As-synthesized nanocrystals are charge-stabilized by protons, with chloride acting as the counterion.

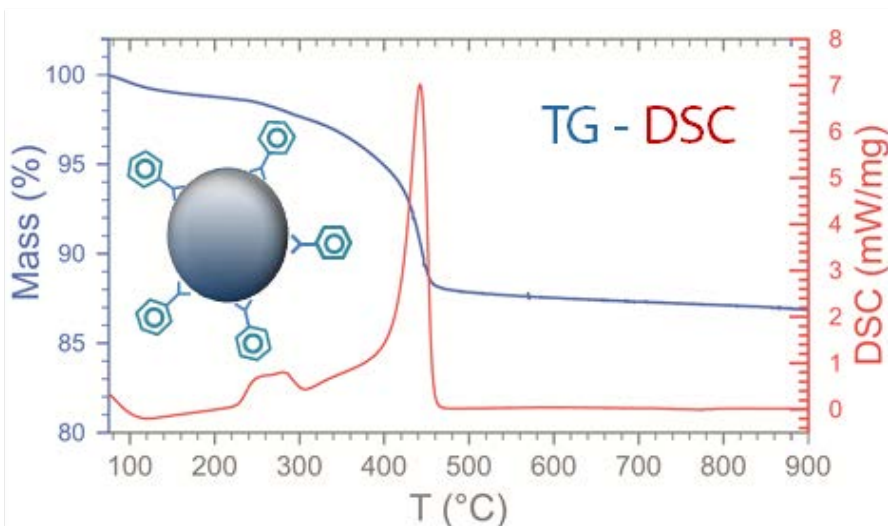
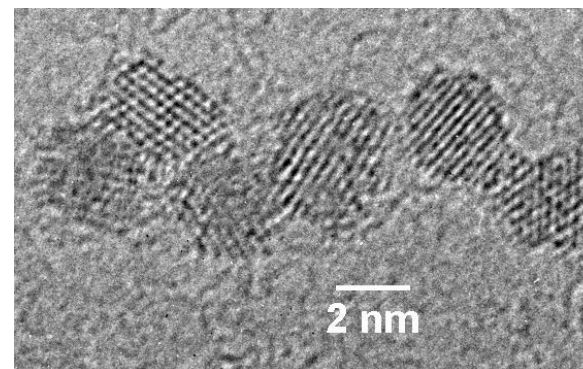
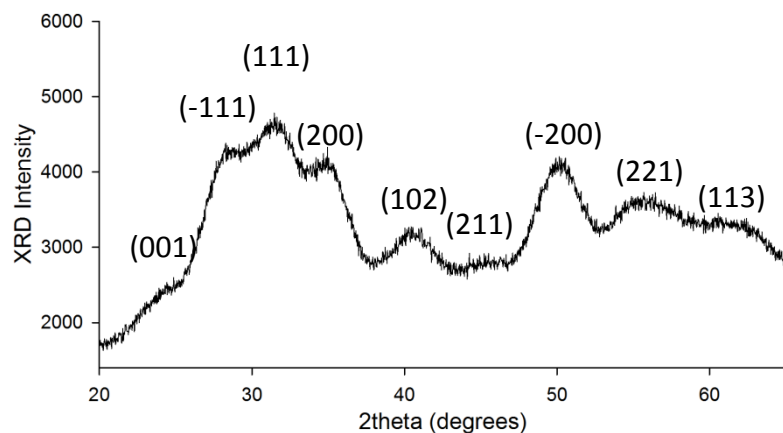
The addition of amines provides the basic environment in which carboxylic acids can dissociate and replace chloride



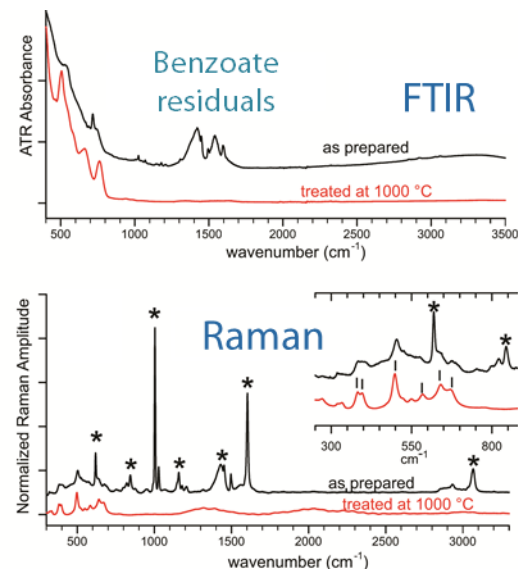
J. Am. Chem. Soc. 2014, 136, 9650–9657

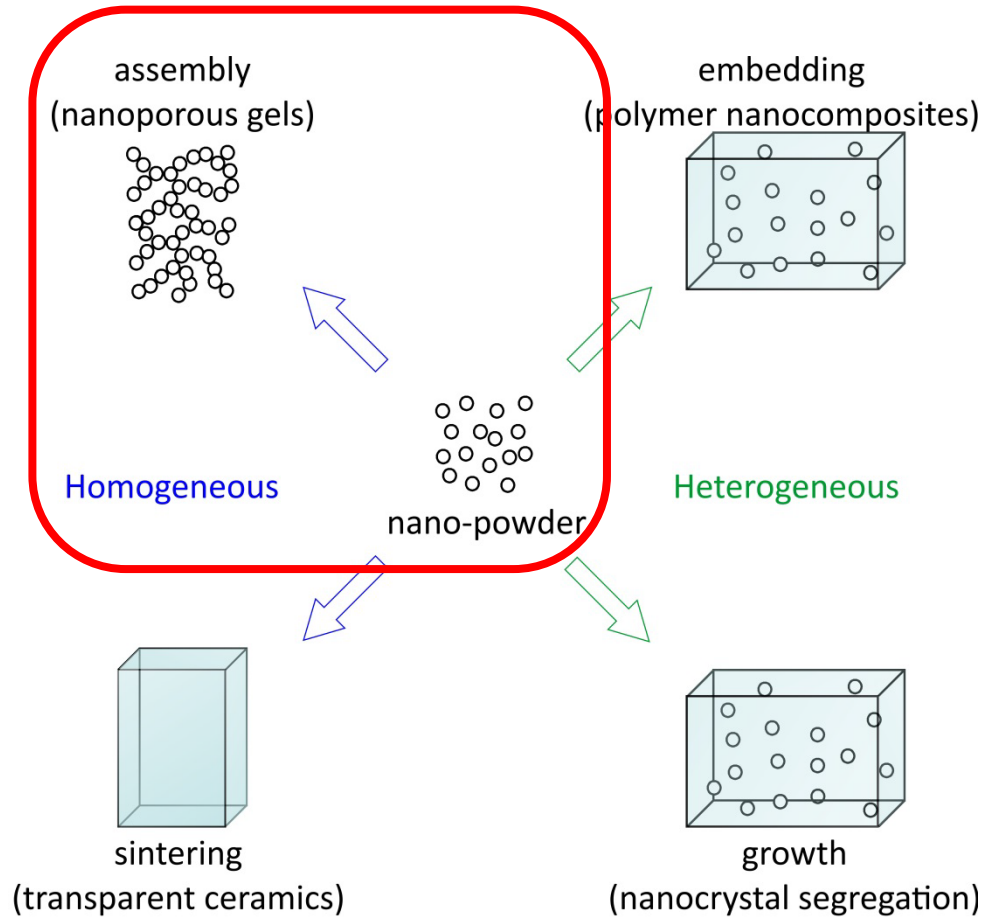
# Autoclave sol-gel chemistry of $\text{Hf}(\text{t-BuO})_4$

# Surface chemistry

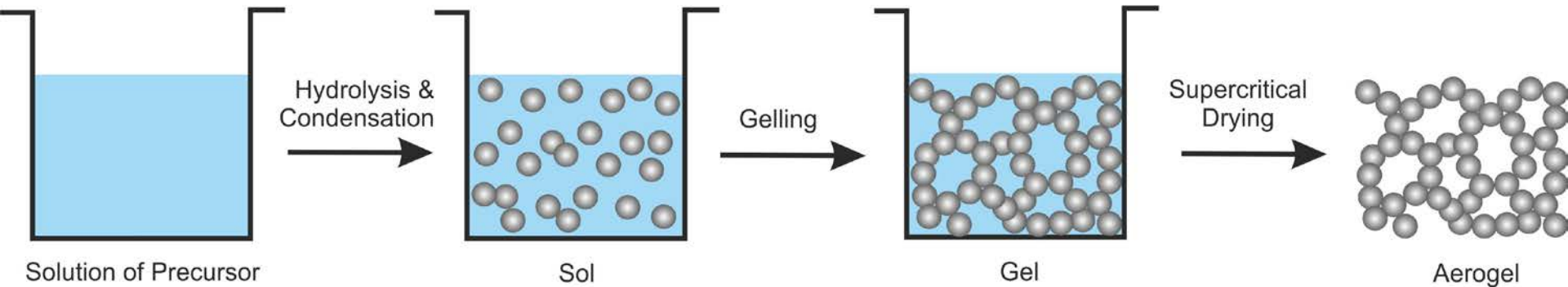


Lauria A. et al. *ACS Nano* **2013**, *7*[8], 7041



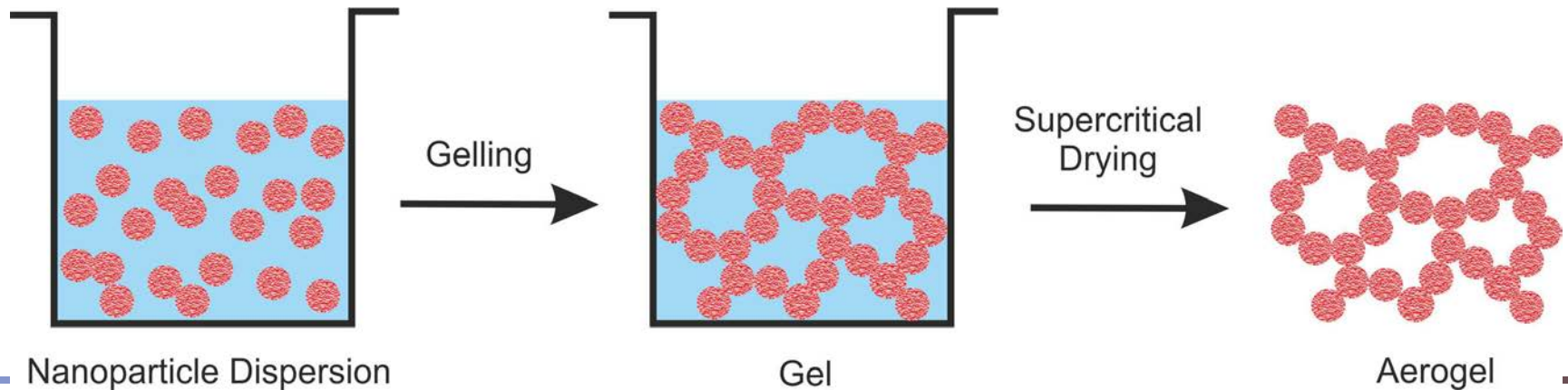
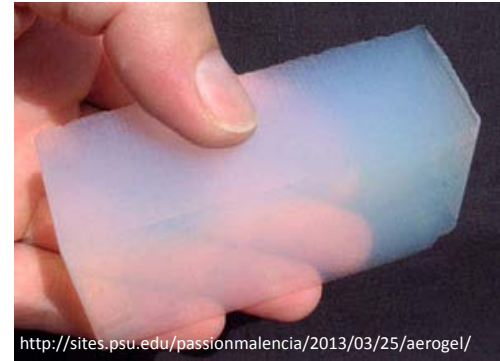


# Aerogels

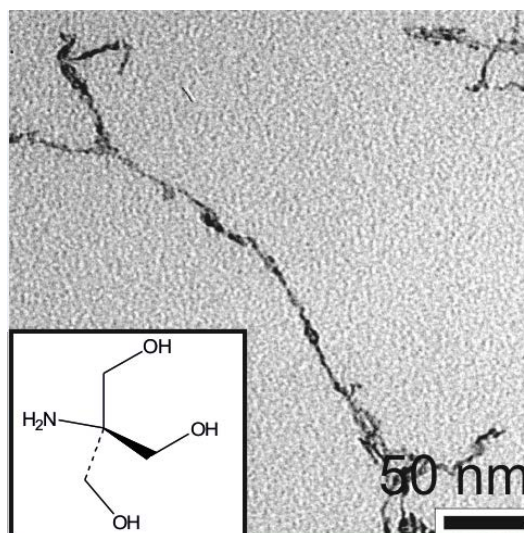
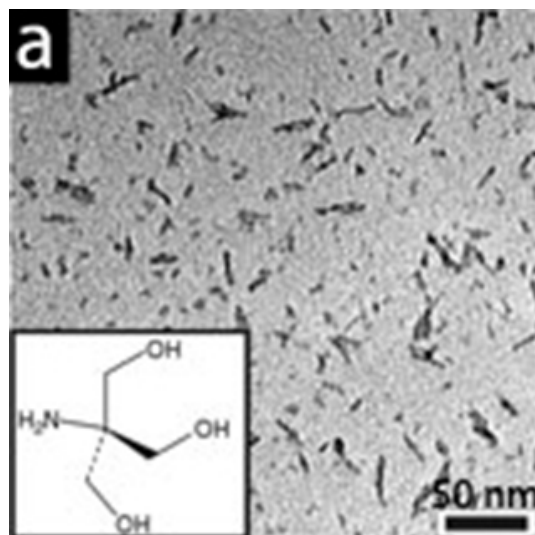


## Goals:

- To get a bulk material with nanoscale properties arising from the building blocks
- To have more functions



## Controlled destabilization of 3 nm trizma-functionalized anatase NPs dispersions



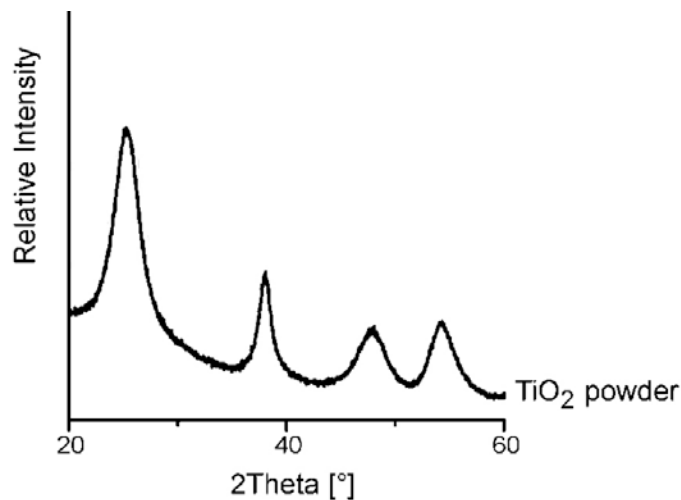
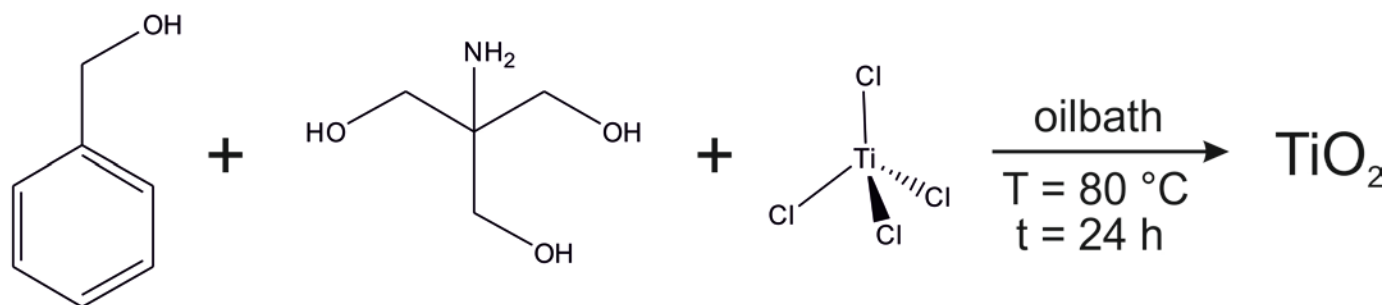
Trizma functionalized anatase NPs<sup>[1]</sup>  
a) before  
b) after destabilization

Proposed mechanism for oriented attachment by Polleux et al. in 2005<sup>[1]</sup>

Theoretical calculations by Grätzel et al. in 1998<sup>[2]</sup>

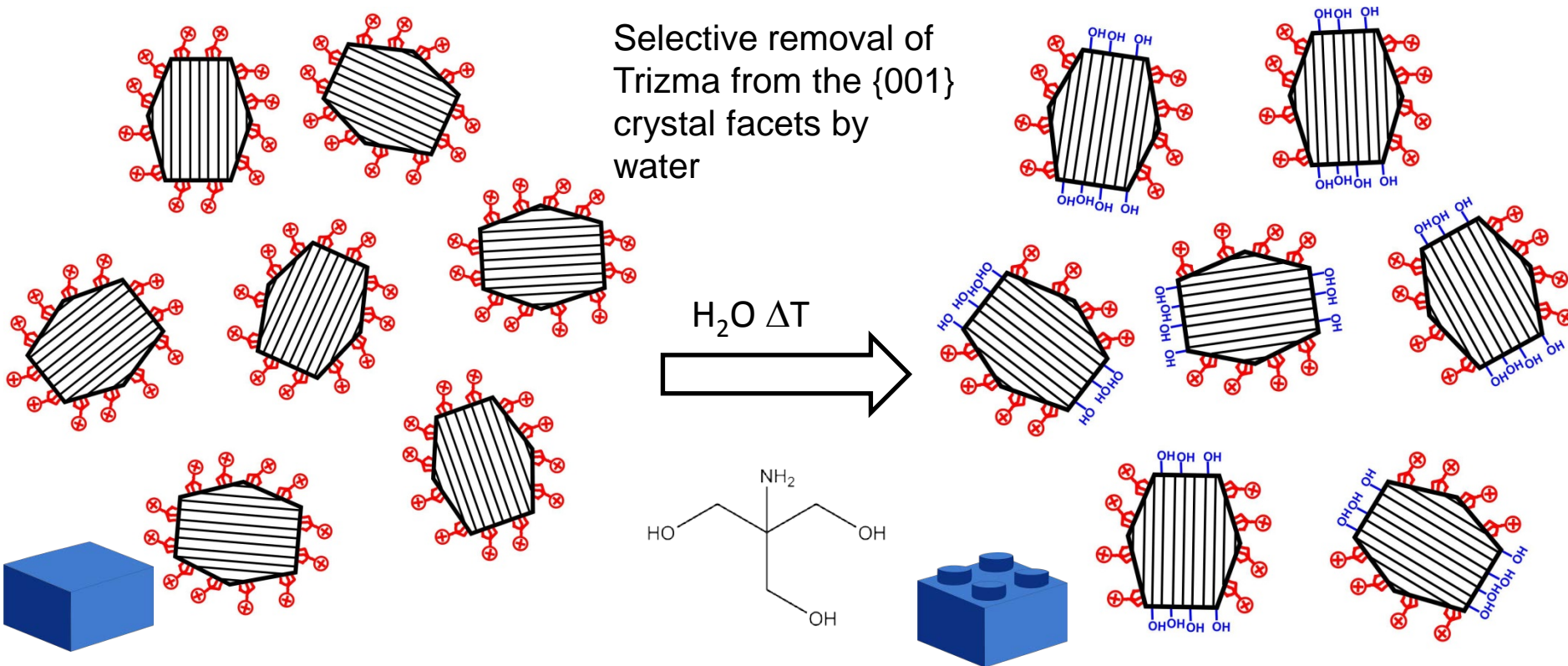
[1] Niederberger, M., et al., *Chem. Eur. J.*, **2005**, 11, 3541-3551.

[2] Grätzel, M. et al. *Phys. Rev. Lett.*, **1998**, 81, 14, 2954.

TiO<sub>2</sub> synthesis

Heiligtag, F. J., et al., *J. Mater. Chem.*, **2011**, 21, 16893-16899.

## Oriented Attachment along [001] - why?



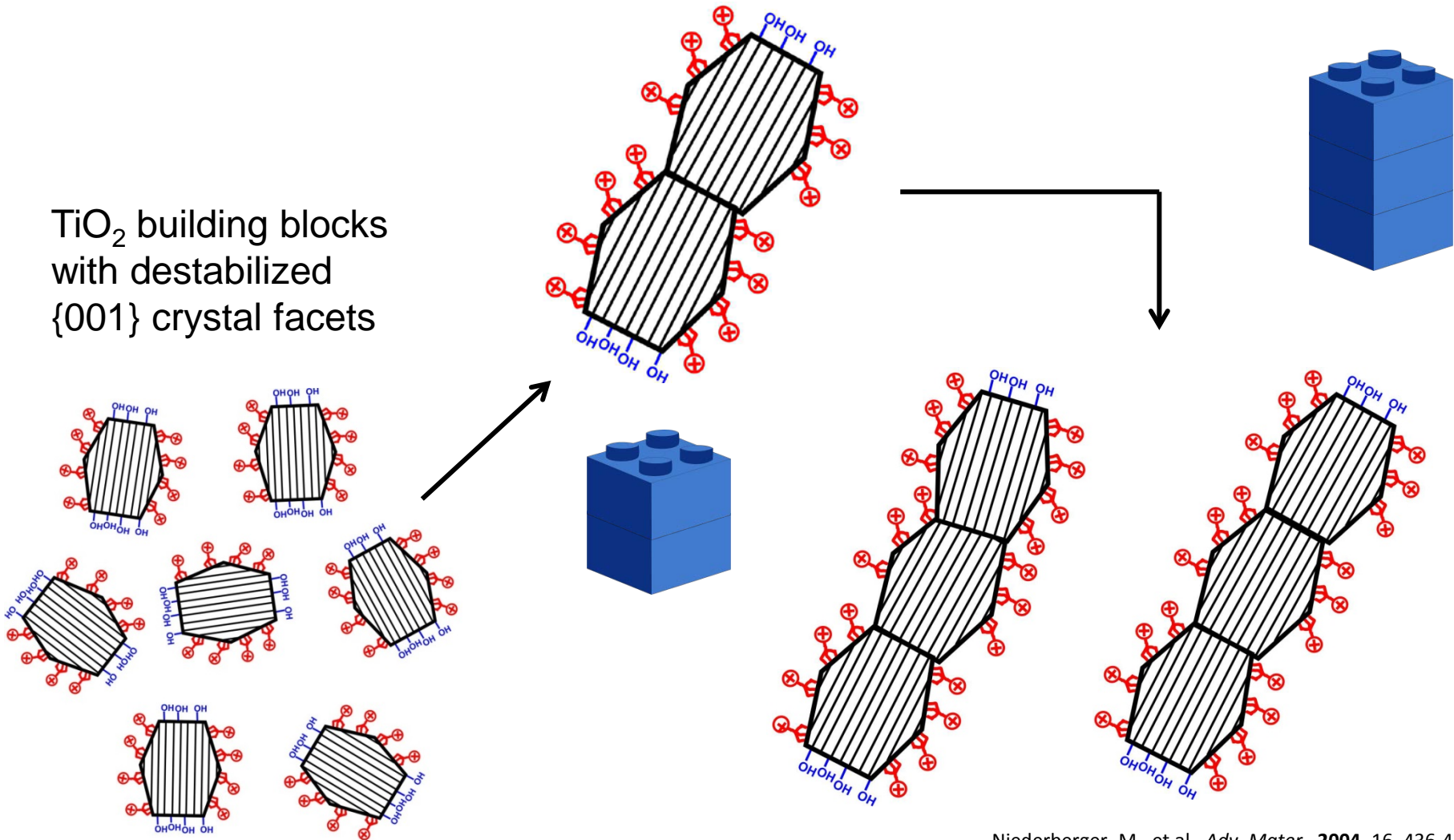
Surface-functionalized TiO<sub>2</sub> building blocks electrostatically stabilized by Trizma

TiO<sub>2</sub> building blocks with destabilized {001} crystal facets

Niederberger, M., et al., *Adv. Mater.*, **2004**, 16, 436-439.  
 Niederberger, M., et al., *Chem. Eur. J.*, **2005**, 11, 3541-3551.

## Oriented Attachment along [001] - why?

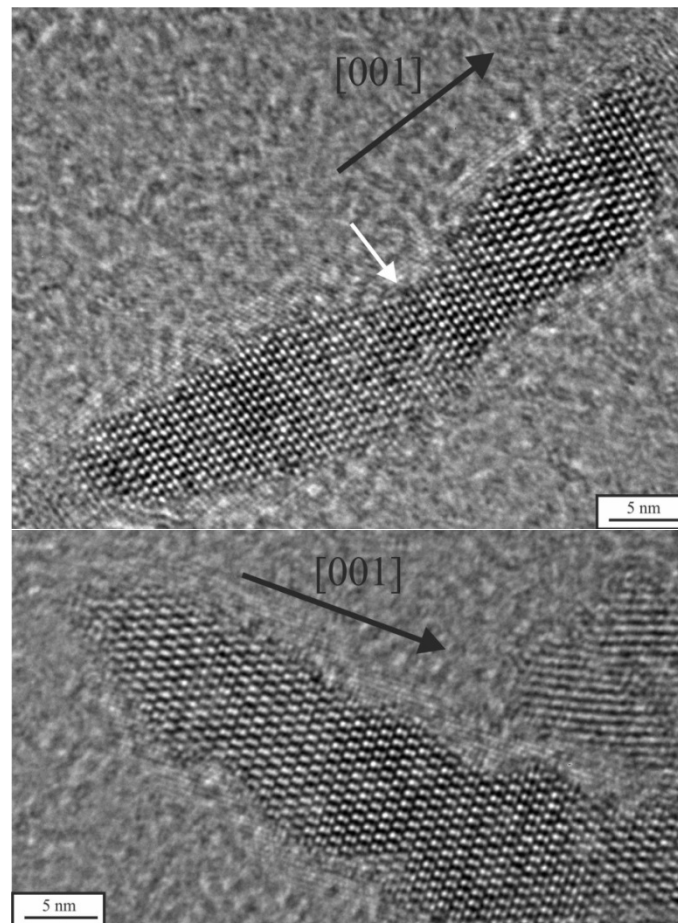
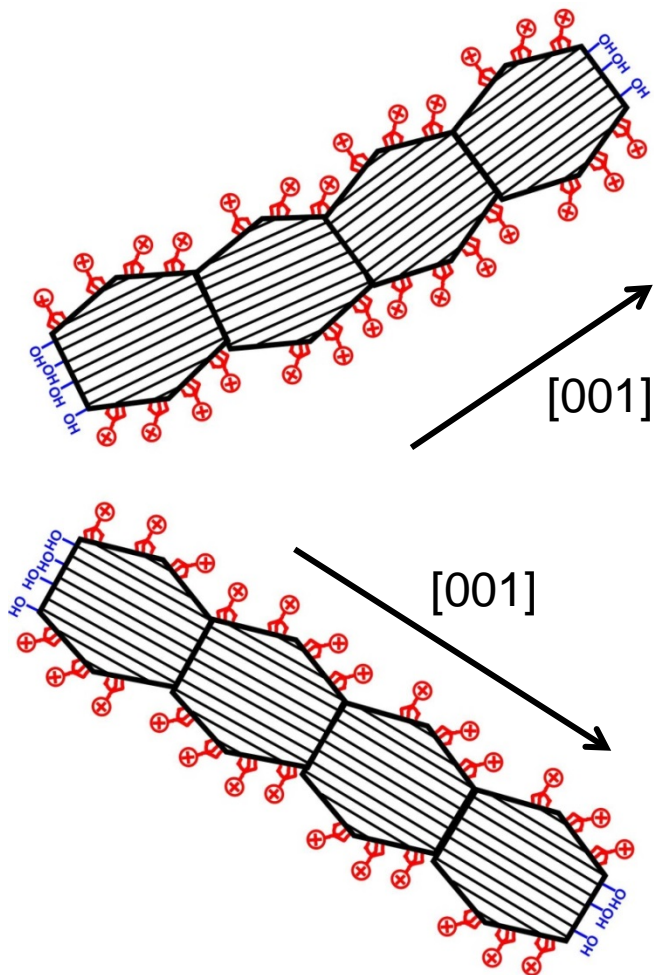
TiO<sub>2</sub> building blocks with destabilized {001} crystal facets



Niederberger, M., et al., *Adv. Mater.*, **2004**, 16, 436-439.  
Niederberger, M., et al., *Chem. Eur. J.*, **2005**, 11, 3541-3551.

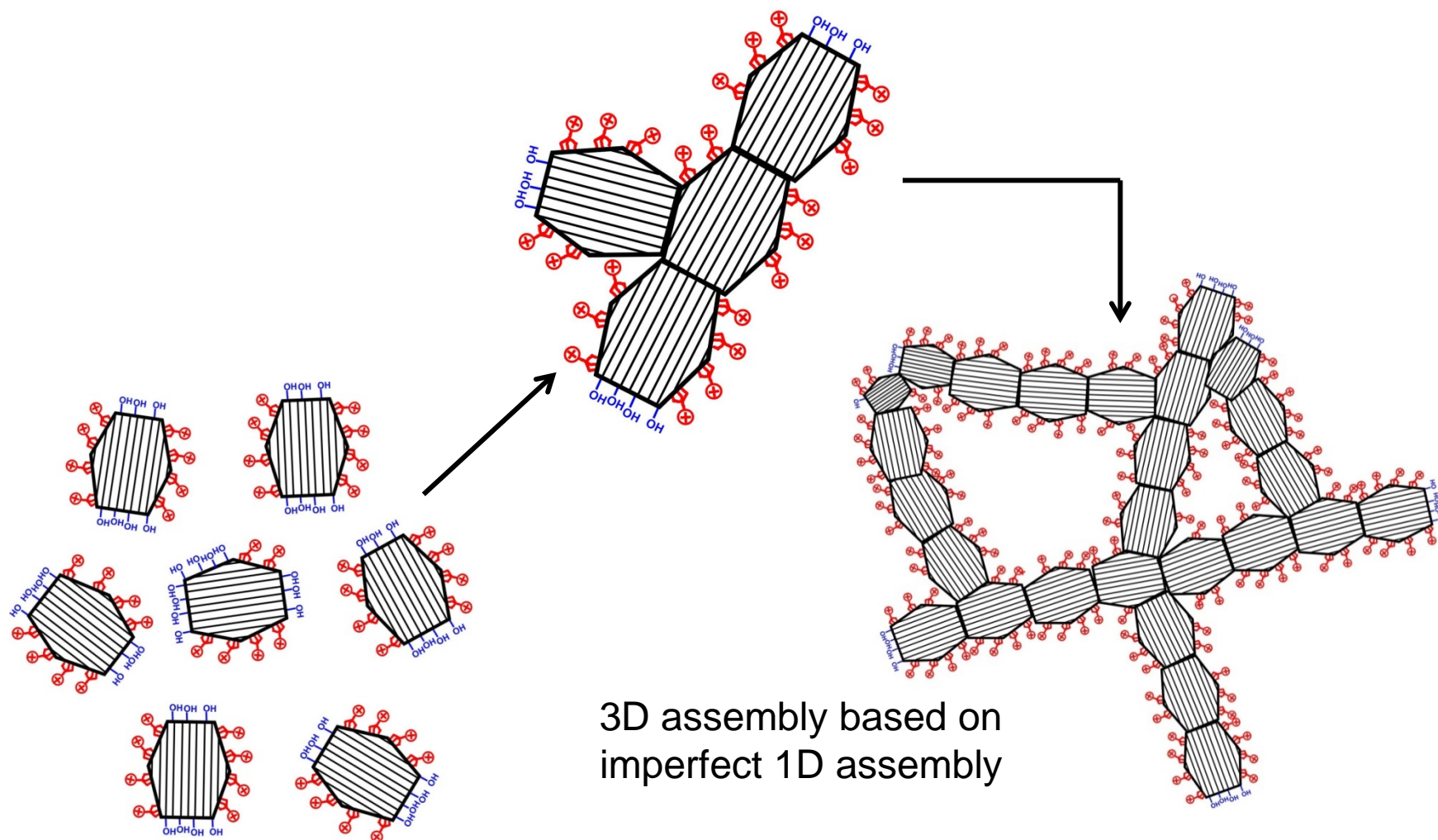


## Oriented Attachment along [001] - why?



Niederberger, M., et al., *Adv. Mater.*, **2004**, 16, 436-439.  
 Niederberger, M., et al., *Chem. Eur. J.*, **2005**, 11, 3541-3551.

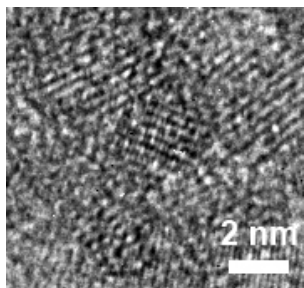
## Oriented Attachment for 3D assembly



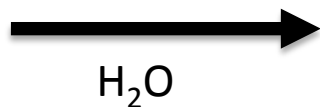
3D assembly based on  
imperfect 1D assembly

Niederberger, M., et al., *Adv. Mater.*, **2004**, 16, 436-439.  
Niederberger, M., et al., *Chem. Eur. J.*, **2005**, 11, 3541-3551.

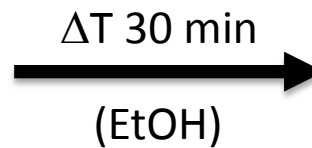
## TiO<sub>2</sub> Assembly



TiO<sub>2</sub> Nanoparticles



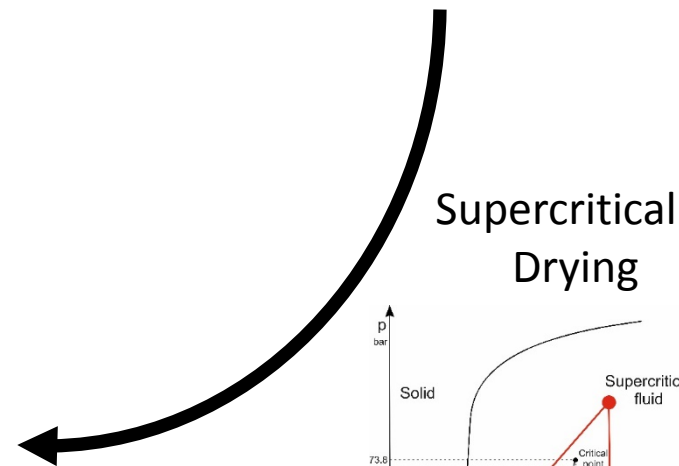
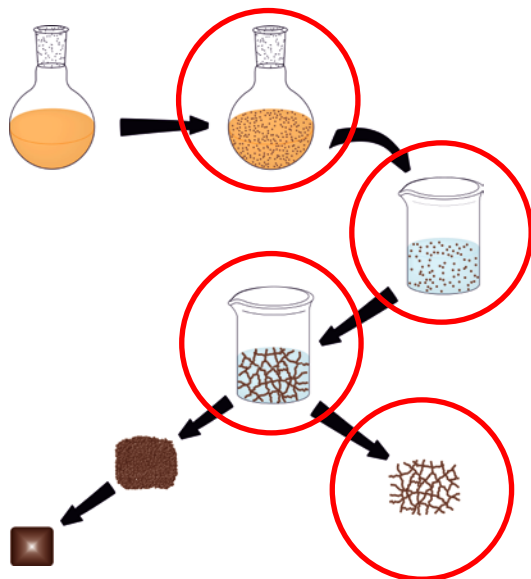
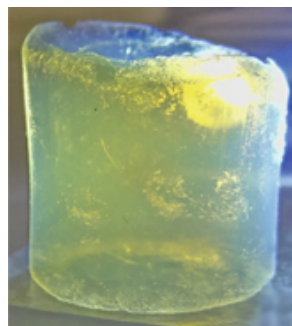
Dispersion



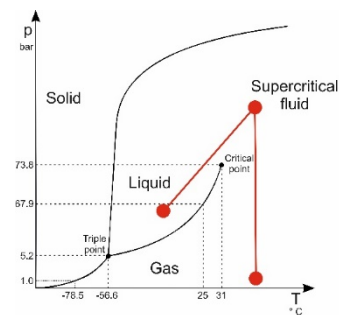
Wet Gel



TiO<sub>2</sub> Aerogel

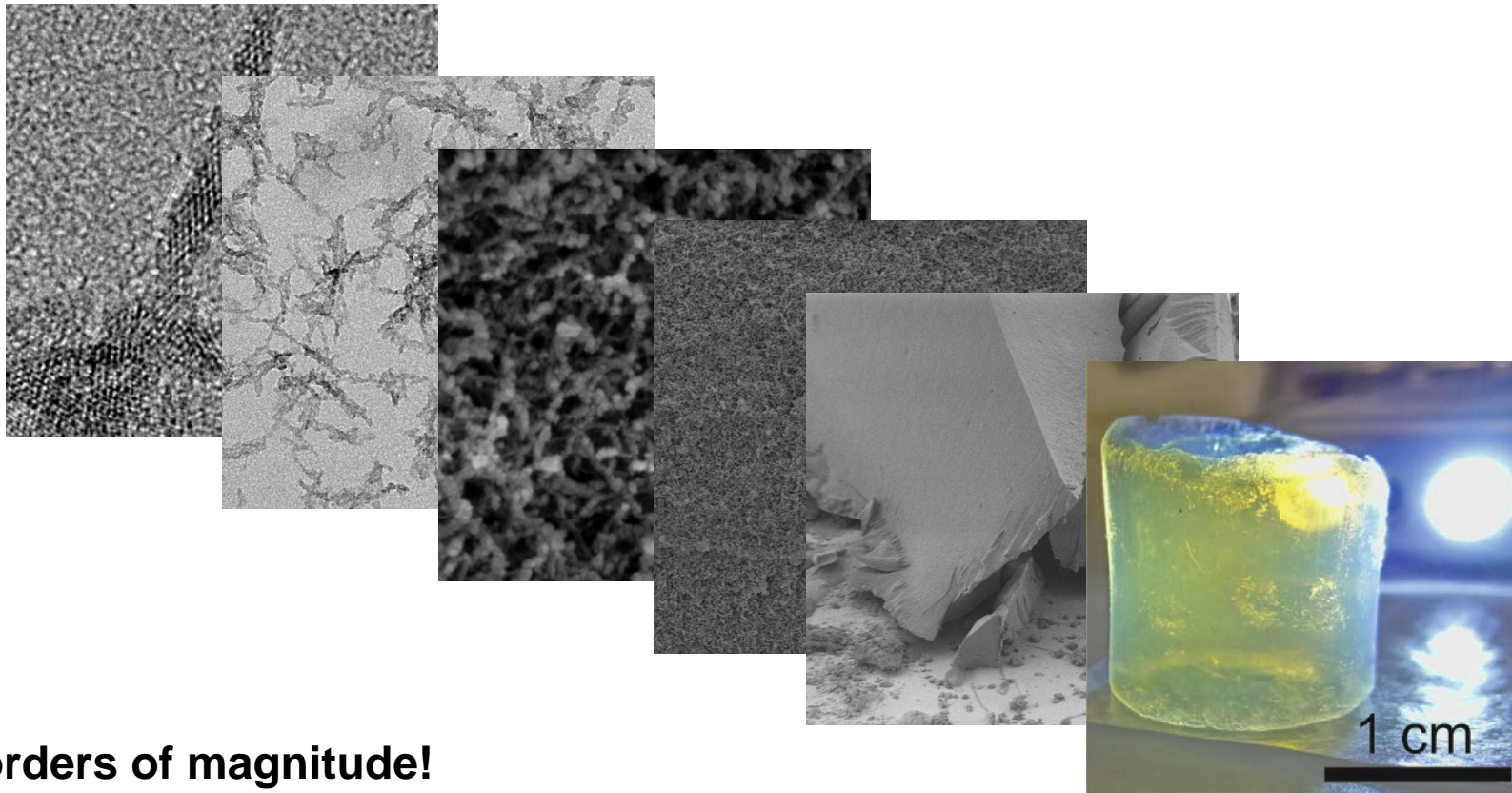


Supercritical Drying



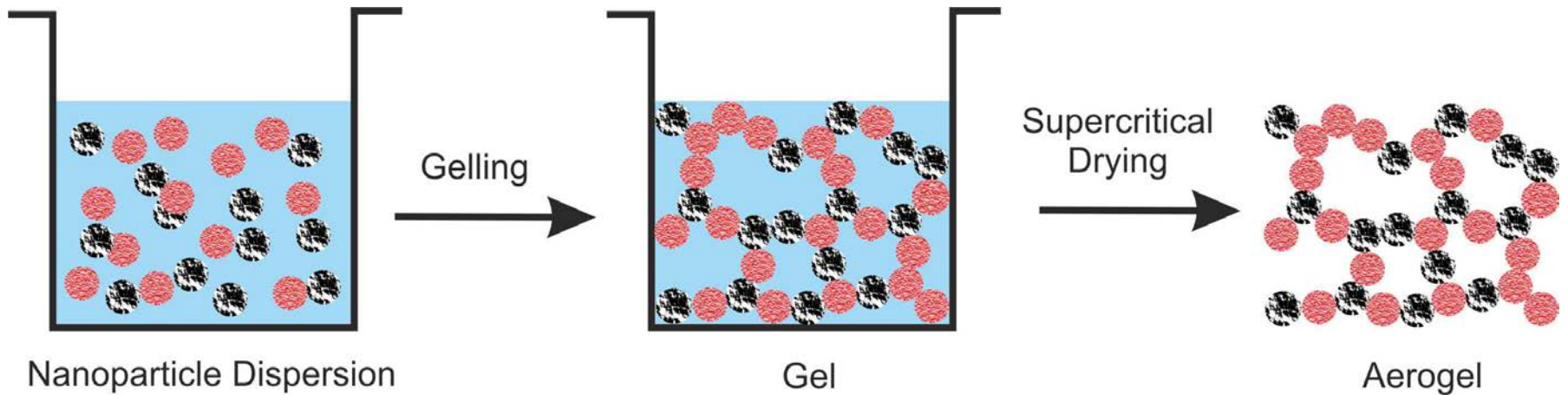
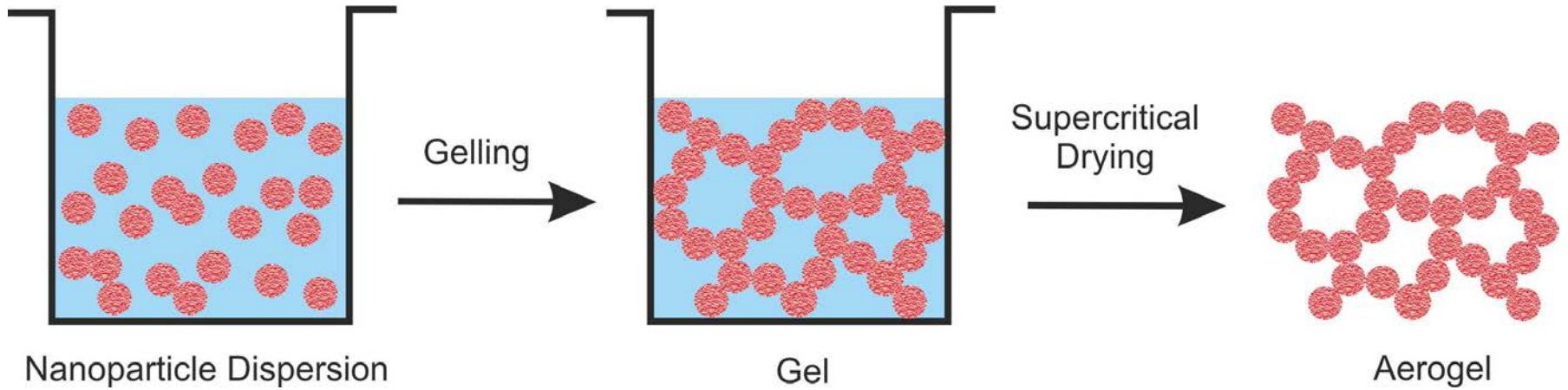
Heiligtag, F. J., et al., *J. Mater. Chem.*, **2011**, 21, 16893-16899.

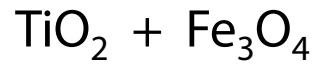
## From Nanoparticles to Aerogel Monoliths



7 orders of magnitude!

# Aerogels



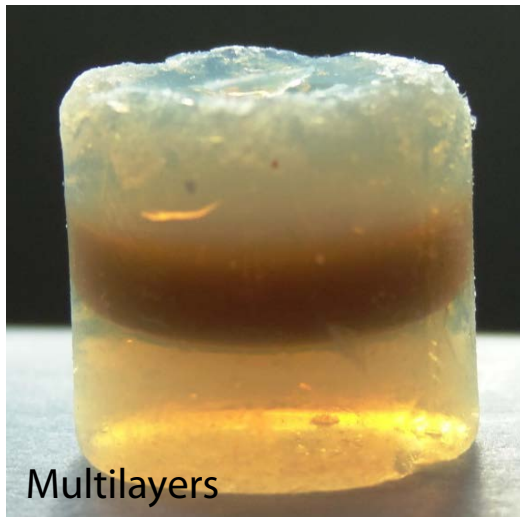


0 mol%

0.25 mol%

0.375 mol%

0.5 mol%



Multilayers

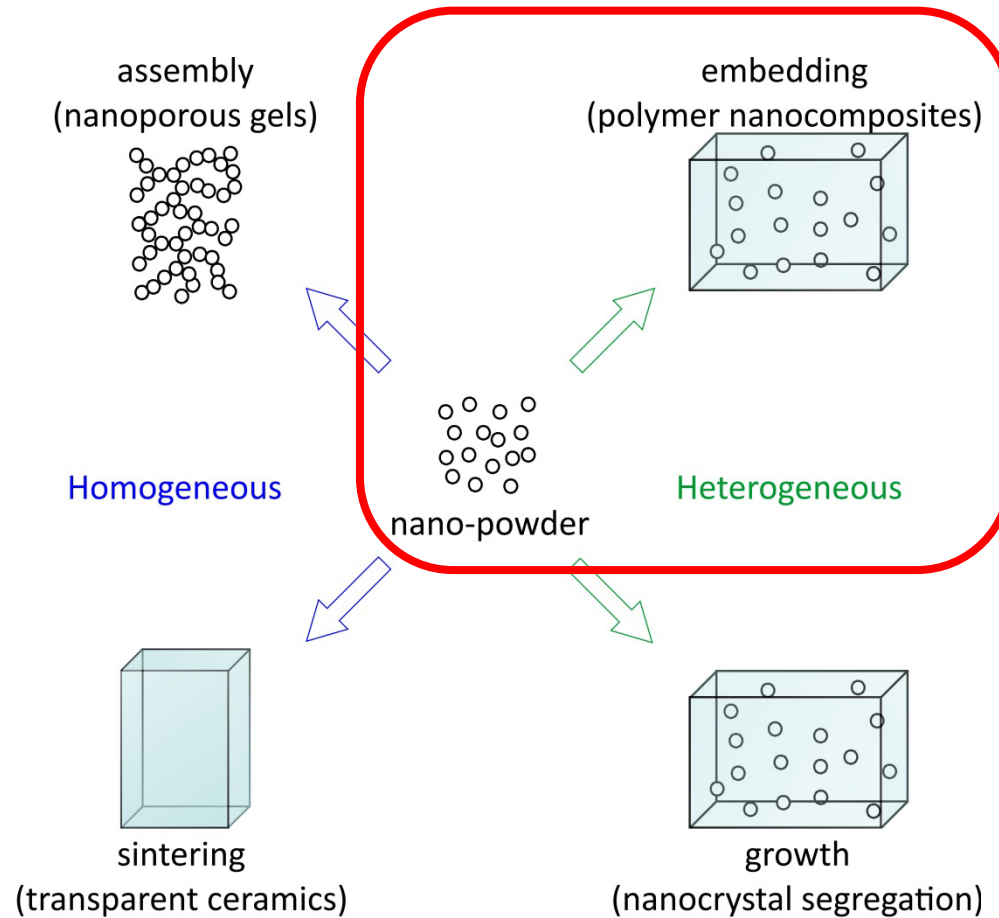


Gradients

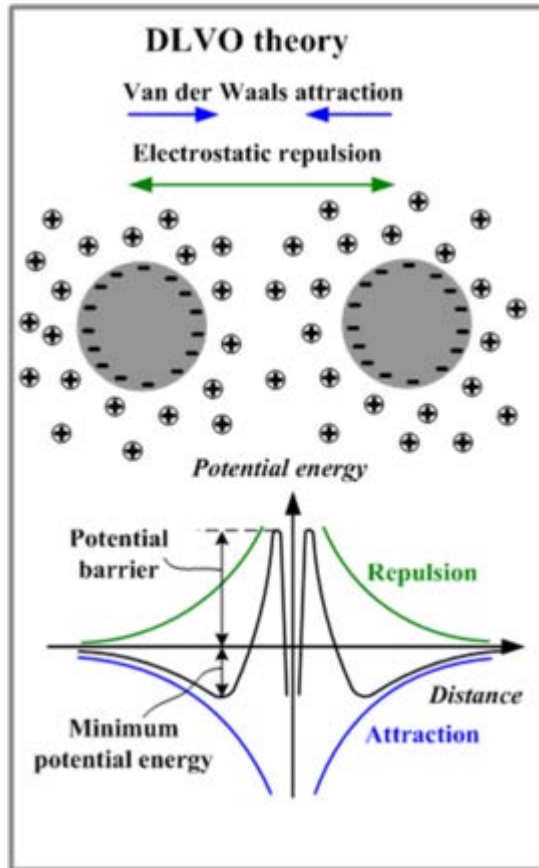


Magnetically textured

Nanoscale **2014**, 6, 13213

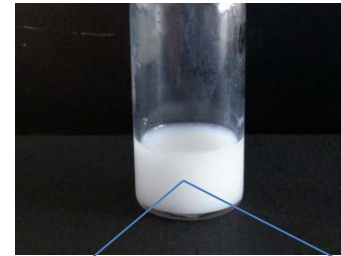


# Stabilization



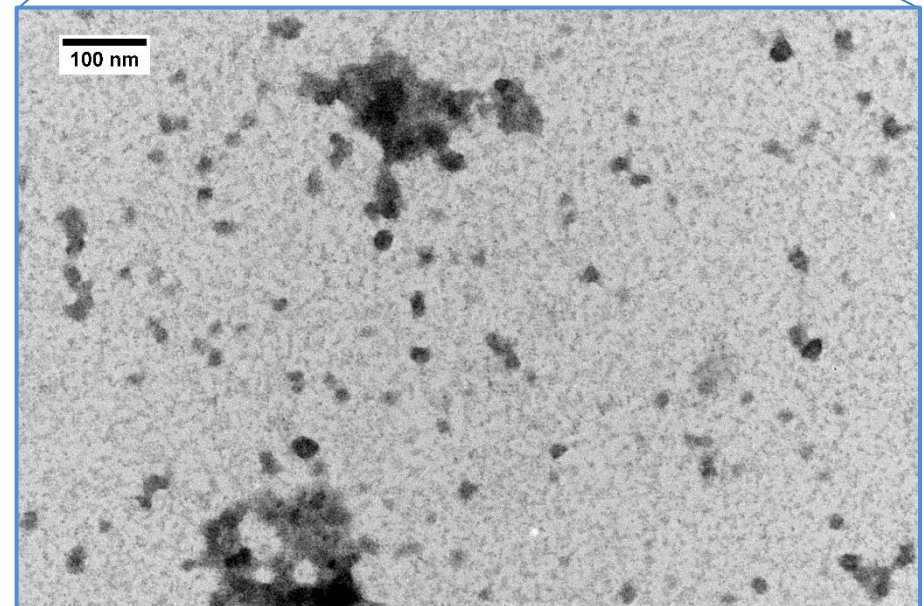
Probability of collision  
is higher for smaller  
particles

NP like to agglomerate!



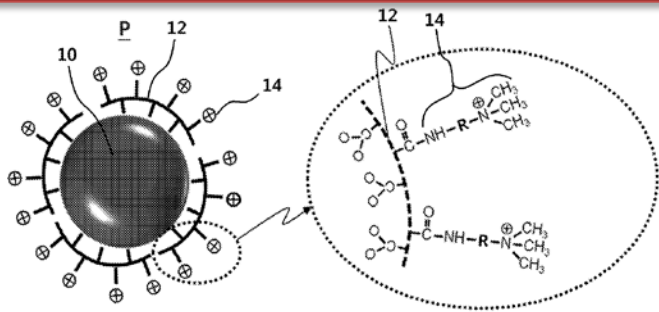
Ethanol suspension

PMMA/NPs

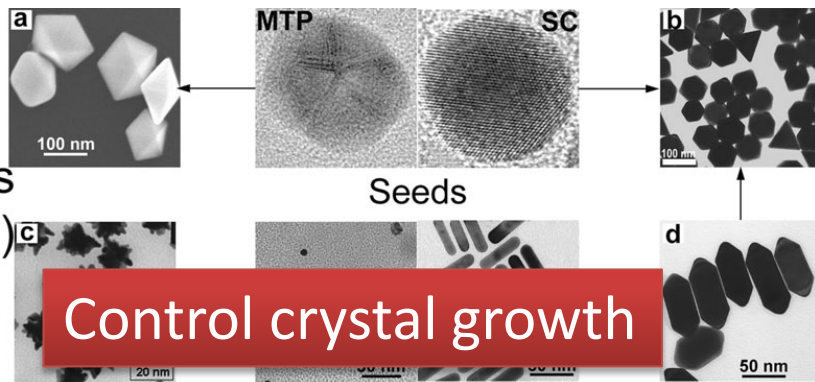




# Water stability of SPIONs



US pat. 20120045399 A1



Control crystal growth

Seeds  
(DMF)

No  
Seeds

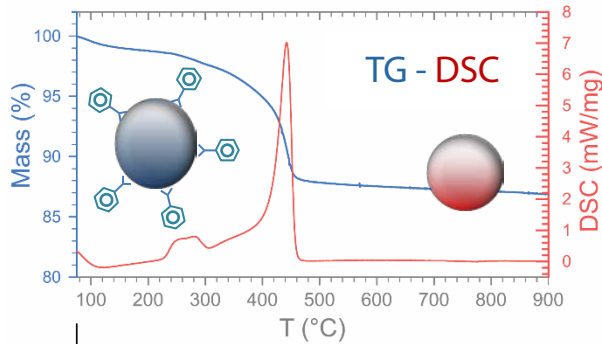
ODs as synthesized <sup>24,27</sup>		Soluble in: toluene, hexanes, chloroform	Soluble in: basic buffer
TOP	Shell	Hydrophobic	Hydrophilic
Biotofunctionalization		Cap-biomolecule linking functionality	
Biomolecule (protein/DNA)		Hydrophobic interaction, Hydrophobic bridge, hydrophobic shape	
		Electrostatic interaction, Hydrophobic bridge, carbonyl affinity, amine bond	
<b>Representative surface-capping strategies</b>		<b>Mechanism of interaction</b>	
<b>a</b>	<b>Monofunctional caps</b> $n = 1$ : mercaptoacetic acid $n = 2, 10, 15$ : benzyl Hydrophilic: $\text{HS}(\text{CH}_2)_n(\text{O}(\text{CH}_2\text{CH}_2)_m\text{O})_p$ R = -H, -CH <sub>2</sub> COOH	Dative thiol bond 	Examples: Mercaptopropionic acids <sup>40</sup> Alkylthiol terminated DNA <sup>41</sup> Thioalkylated oligo-ethylene glycols <sup>22</sup>
<b>b</b>	<b>Bidentate thiols</b> $\text{HS}(\text{CH}_2)_n(\text{O}(\text{CH}_2\text{CH}_2)_m\text{O})_p$ R = -H, -CH <sub>2</sub> COOH $n = 3, 5, -12$	Two interactions/ligand 	Dihydrothiopic acid derivatives <sup>21, 43</sup>
<b>c</b>	<b>Silane shell or box dendrimer</b> Hydrophobic      Hydrophilic $\text{HS}(\text{CH}_2)_n(\text{O}(\text{CH}_2\text{CH}_2)_m\text{O})_p$ R = -SH, -NH <sub>2</sub> , -PO <sub>2</sub> CH <sub>3</sub>	Crosslinked shell 	Mercaptopropyl silanols <sup>2, 40</sup> Amine box dendrimers <sup>21</sup>
<b>d</b>	<b>Hydrophobic interactions</b> $\text{CH}_2(\text{CH}_2)_n$ $\text{CH}_2(\text{CH}_2)_n$ $\text{CH}_2(\text{CH}_2)_n$ Hydrophobic	Hydrophobic      Hydrophilic 	Phosphatylethanol amine Phosphatylalanine <sup>45</sup>
<b>e</b>	<b>Functionalized oligomeric phosphines</b> Hydrophobic      Hydrophilic $\text{RO}(\text{CH}_2)_n\text{P}(\text{R}')_2$ R = $\text{C}_6\text{H}_4$ , $\text{C}_6\text{H}_4\text{CO}$ X = OH, NH-Streptavidin Hydrophilic	Hydrophobic      Hydrophilic 	Oligomeric phosphines <sup>27</sup>
<b>f</b>	<b>Amphiphilic triblock copolymer</b> Hydrophilic      Hydrophobic $-(\text{CH}_2)_n(\text{CH}_2\text{CH}_2\text{O})_m(\text{CH}_2)_n-$ Hydrophobic	TOP/TOP* *Site for EDC-based antibody conjugation -CONH-PEG -COOH Hydrophilic      Hydrophobic	Amphiphilic triblock copolymer <sup>46</sup>
<b>g</b>	<b>Amphiphilic saccharides</b> Hydrophobic      Hydrophilic $\text{R} = -(\text{CH}_2)_n\text{CH}_2$ X = $-\text{O}(\text{CH}_2)_2$	Internal alkanes interdigitate with TOPO Hydrophobic      Hydrophilic	Amphiphilic saccharides <sup>46</sup>
<b>h</b>	<b>Direct attachment of proteins/peptides to OD surface</b> $(\text{NH}_2)_n(\text{AA})_m$ $n = 12$ Metal-affinity coordination H = histidine Metalloprotein-OD	Dative thiol bonding      Cys = cysteine Cnd = carboxamide Cha = $\epsilon$ -cyclohexylalanine 	Phytochelatin-a-peptides <sup>18</sup> Histidine-rich epitopes <sup>50</sup> Polyhistidine metal-affinity coordination <sup>51-54</sup>

target specific cells or tissues

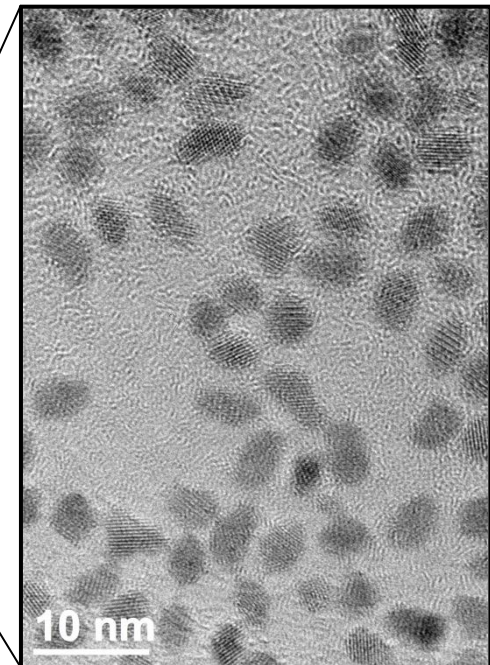
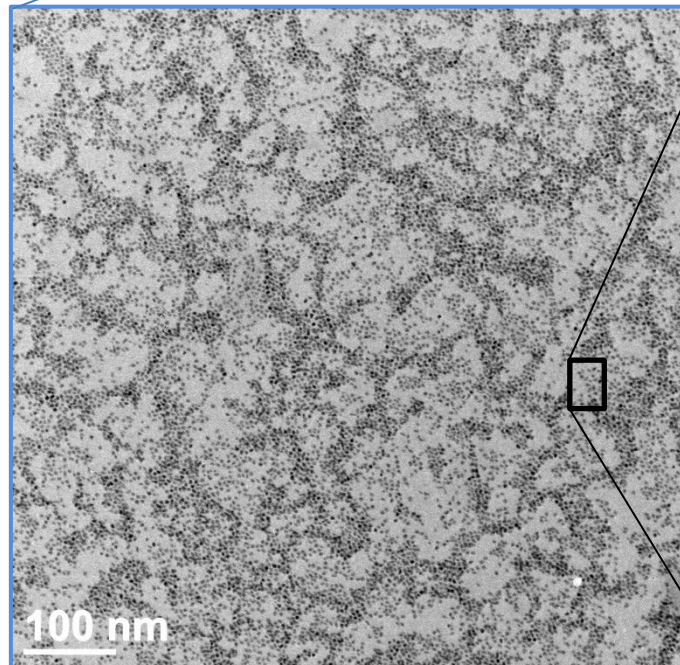
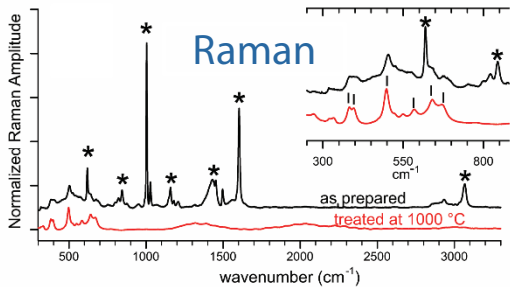
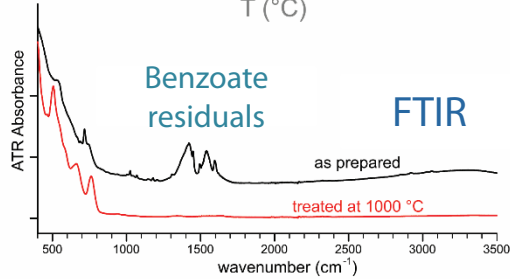
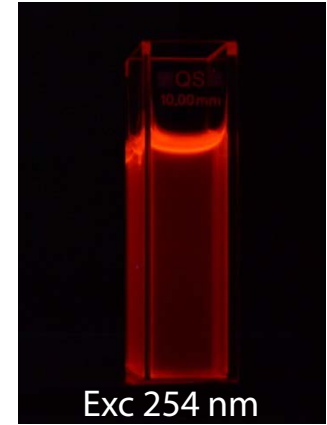
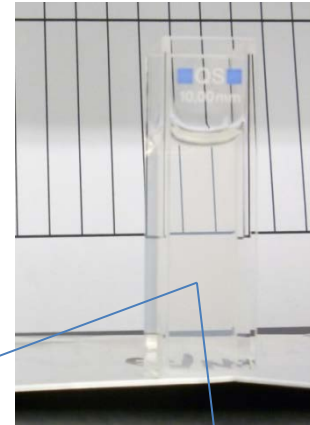
Chem. Soc. Rev., 2008, 37, 1783–1791

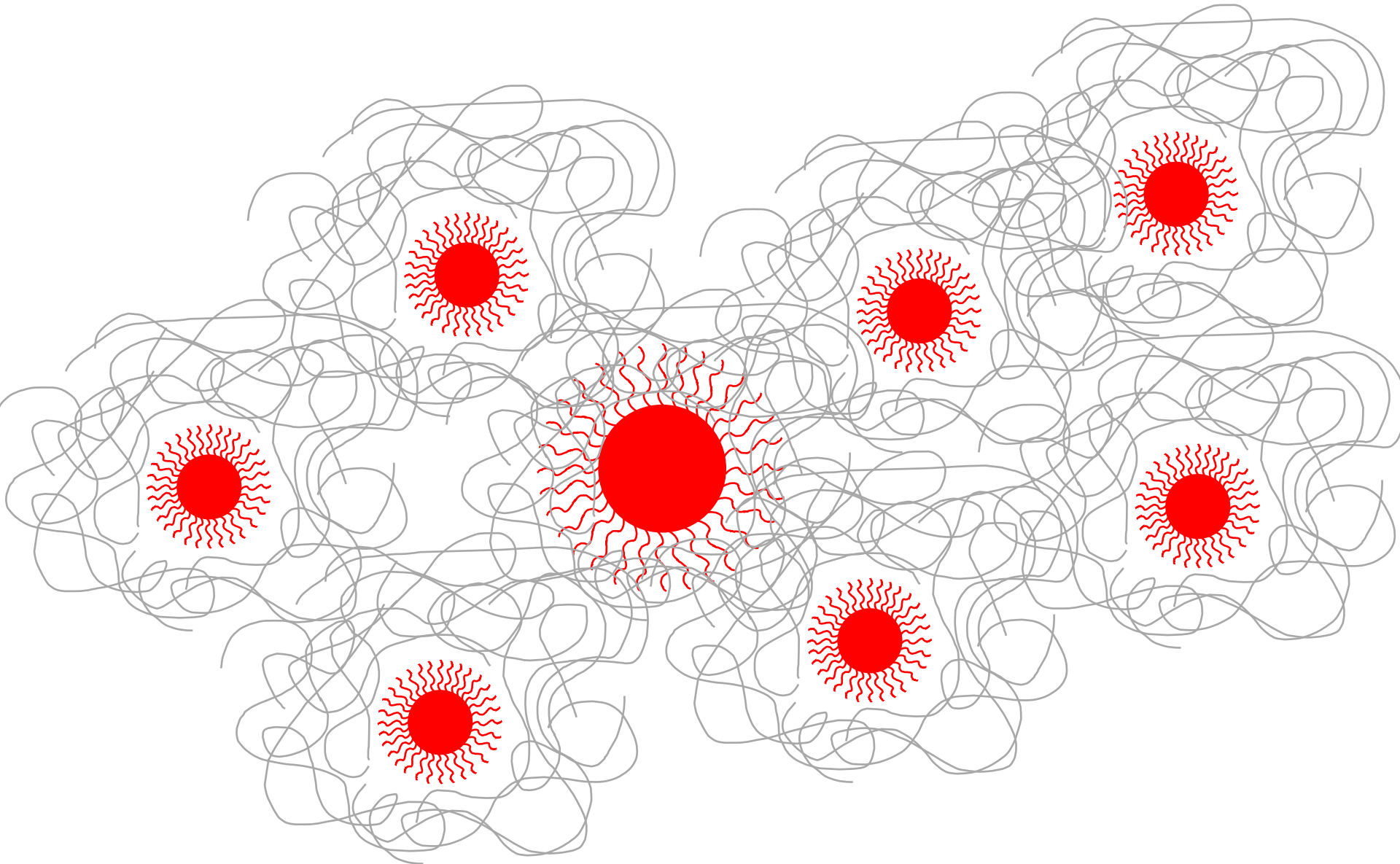
Theranostics 2012; 2(7):681-694. doi:10.7150/thno.3692

# Role of surface chemistry on stabilization of HfO<sub>2</sub> NPs



Stable  
suspensions





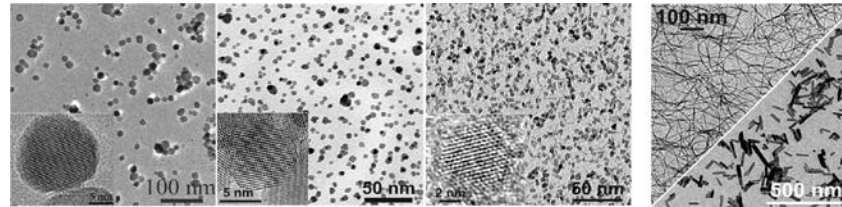
## Chemical synthesis in organic solvents (nonhydrolytic sol-gel chemistry)

Control of composition, size, shape,  
and surface

Different heating techniques: Oil  
bath, autoclaves, microwaves



## Well-defined nanoparticles as building blocks



**Magnetic Nanoparticles:**  
 $\text{Fe}_3\text{O}_4$   
 $\text{MFe}_2\text{O}_4$  (M = Ni, Co, Mn)

**Conducting Nanoparticles:**  
 $\text{SnO}_2$ -doped  $\text{In}_2\text{O}_3$  (ITO)  
Sb-doped  $\text{SnO}_2$  (ATO)  
Al-doped ZnO (AZO)

**Ferroelectric Nanoparticles:**  
 $\text{BaTiO}_3$ ,  $\text{SrTiO}_3$   
 $\text{LiNbO}_3$ ,  $\text{Pb}(\text{Zr,Ti})\text{O}_3$

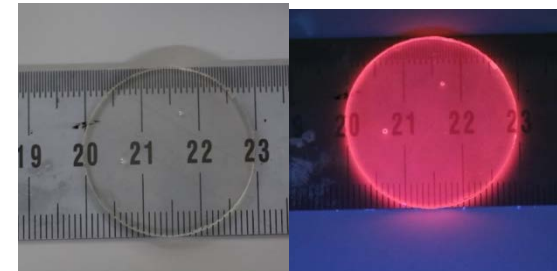
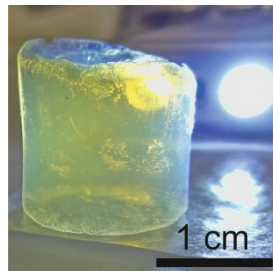
**Different Sizes & Shapes**  
 $\text{W}_{18}\text{O}_{49}$  Nanowires  
ZnO Nanorods

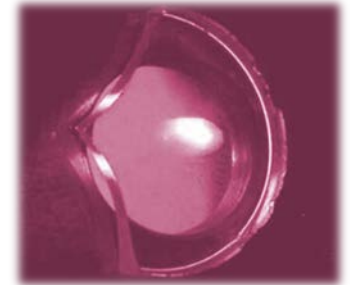
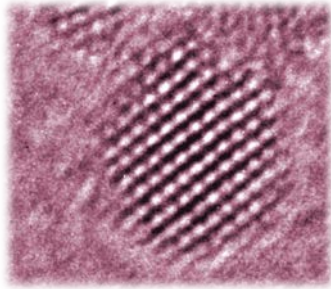
Collective/synergistic properties



Assembly over several length scales

## Macroscopic (multicomponent) materials





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Laboratory for Multifunctional Materials

Department of Materials - ETH Zürich

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<http://www.multimat.mat.ethz.ch>